



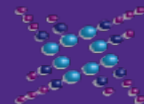
# Sample Preparations for High Quality TEM Analyses

Xiaobing Hu



Department of Materials Sciences and Engineering, Northwestern University

The NUANCE Center, Northwestern University

June 19, 2019



# Outline

- How to choose the suitable methods to make TEM samples?
- Crush Method
- Ar Ion Milling Method
- Electrochemical Methods
- Microtome
- Focus Ion Beam Milling
- **Replica** 
- Some specific complicated cases
- **Cryo sample preparation** 

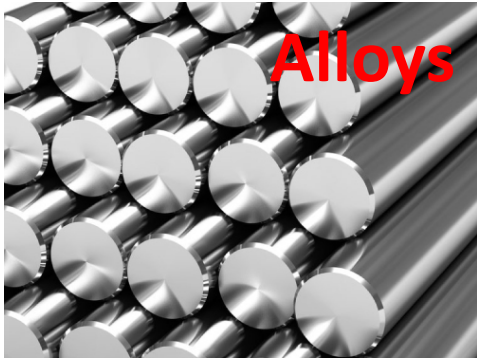
## High Quality Electron Transparent Sample:

- ✓ Very thin (less than 100 nm);
- ✓ Large flat electron transparent regions;
- ✓ No additional/foreign artifact;
- ✓ No contamination;

Only the duplication of the morphological features. Many other structural/chemical details are lost. Not covered here.

Not covered here.

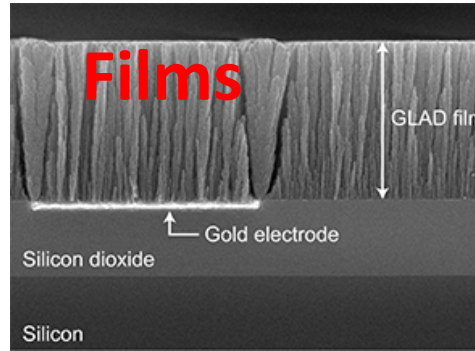
# How to choose the suitable methods to make TEM samples?



Alloys



Powder



Films



Diamond



Foils



Minerals



Biological



Wire



Hair



Ceramics

Everything which is in solid state can be prepared as TEM samples.

# Crush Method

Some representative applications:

- ❑ **Conventional powders samples with different sizes;**
- ❑ Very brittle bulk samples (intermetallic, quasicrystals...)
- ❑ .....

## Advantages

- ✓ Very robust/efficient/economical;
- ✓ No ion beam damage

## Disadvantages

- ✓ May change the original morphological features;

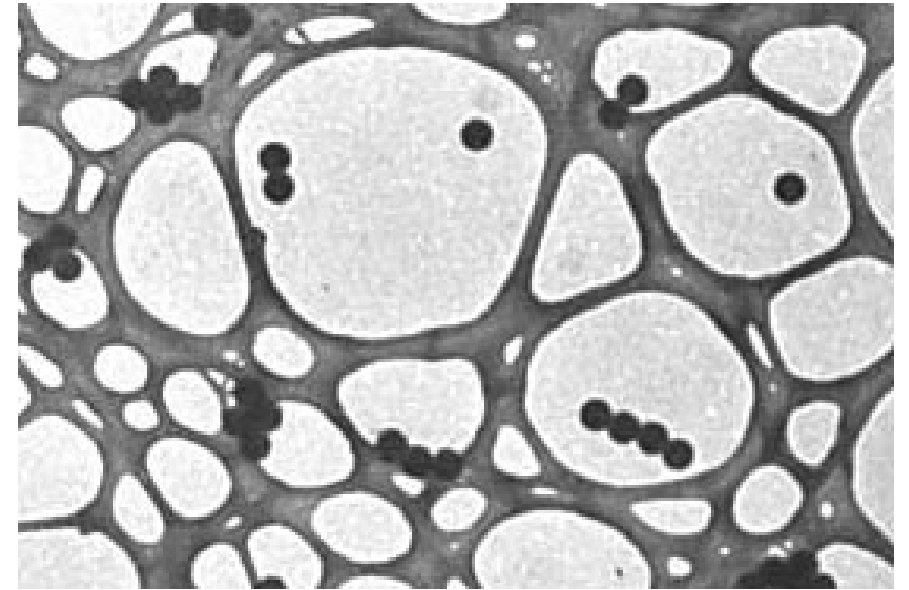
General steps:

1. If **pristine powder size is in micrometer size**, use the pestle and mortar to crush the powders;
2. Dispersed powders into alcohol;
3. Using ultrasonic method to distribute the original powders uniformly;
4. Transfer several drops on **suitable TEM grids**;

How to keep the internal boundaries of the microsphere powders?

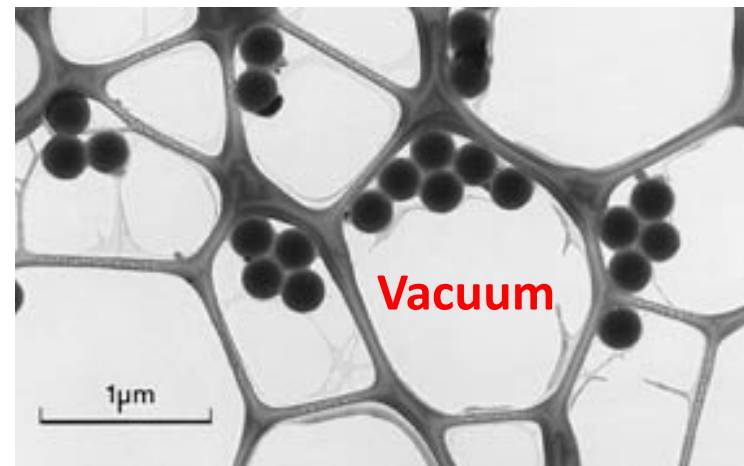
## How to choose the TEM grids?

- Particle size less than 10 nm
- Particle size larger than 10 nm
- .....

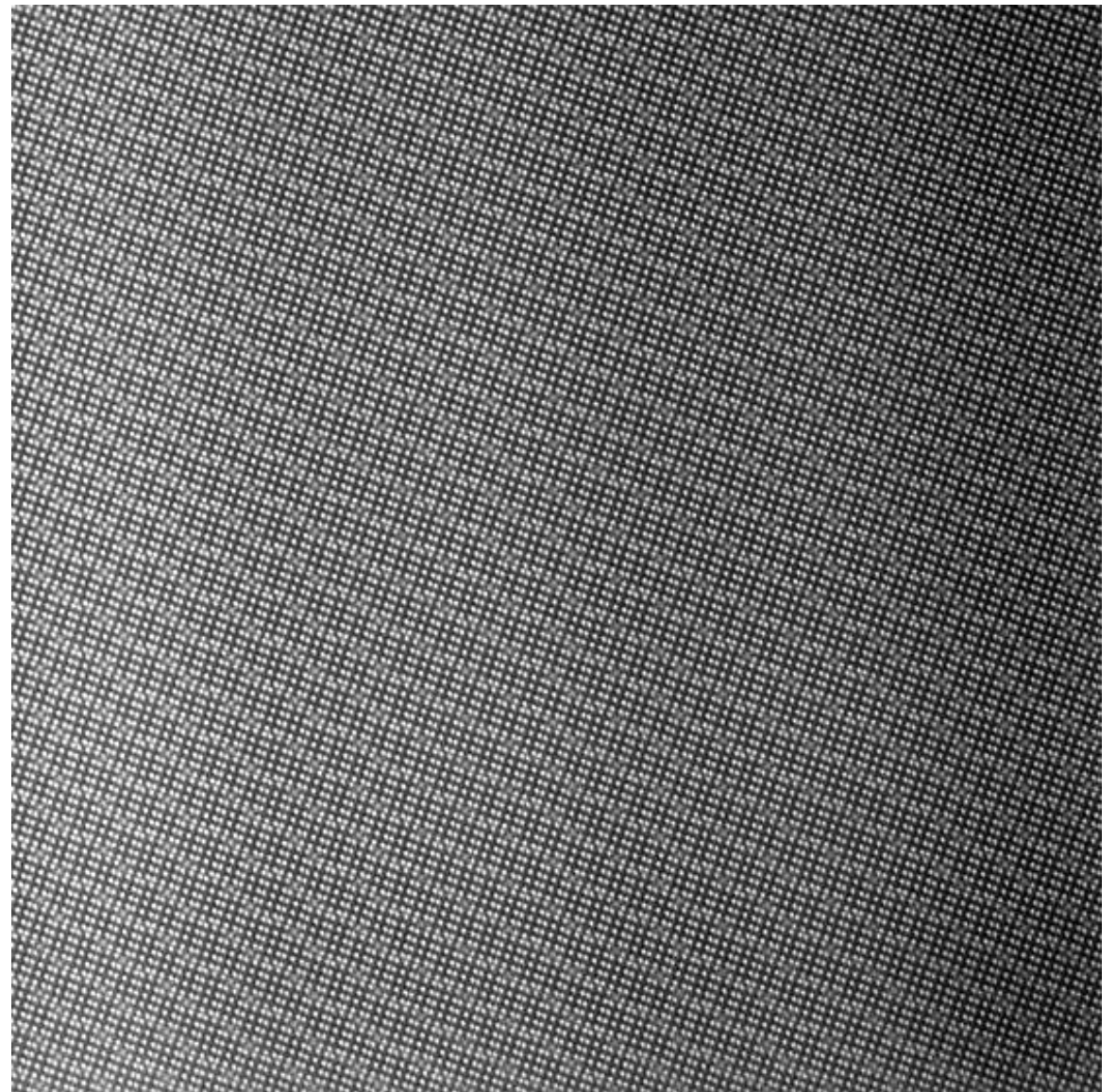
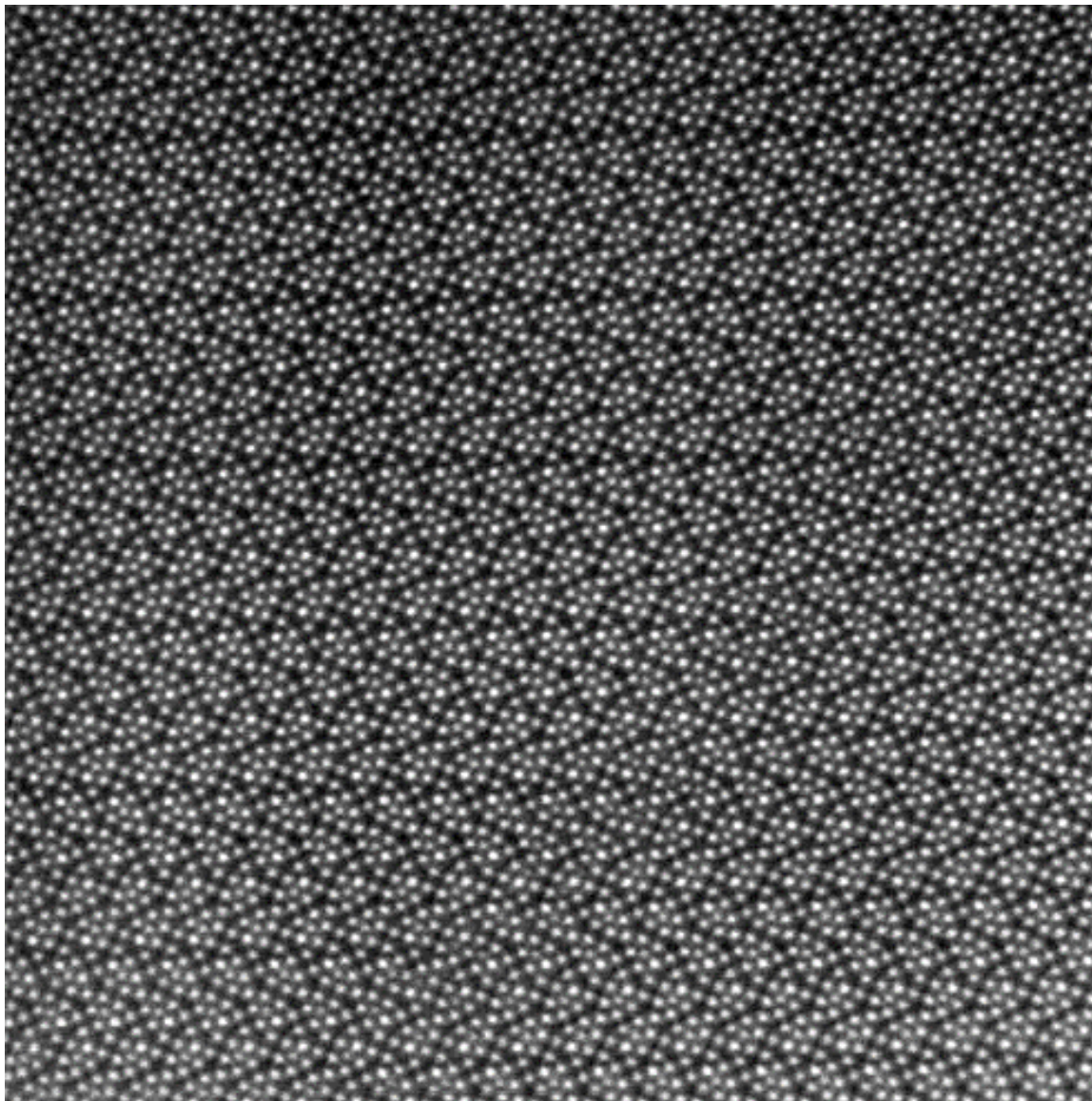


**Ultrathin Carbon Film on Lacey Support Films**

**Lacey Support Films**







**Microstructural features of the W-Nb-O oxide.**

Unpublished work



# Ar Ion Milling Method



## Fischione 1050 TEM Mill

- From -150 °C to room temperature
- 0.1 V to 6 kV
- Beam size: 1.5 mm at 5 kV
- Milling angle range from 0 to 10 degree

### Advantages

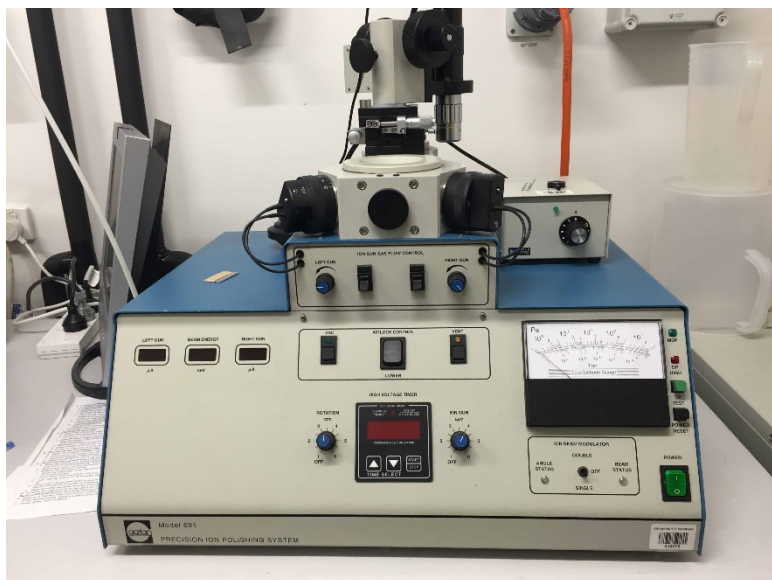
- ✓ Very robust;
- ✓ Applicable to almost all the materials

### Disadvantages

- ✓ Not found yet

Some representative applications:

- Various kinds of engineering alloys and compounds;
- Various kinds of thin film system which are not sensitive to air/water
- Powder samples with the size of micrometers;
- Samples with irregular shape with the size of micrometers (e.g. hair, copper wire....)
- .....



**Gatan 691 PIPS**

- Room temperature (No cold stage)
- 1.5 kV to 6 kV
- Beam diameter: 350 $\mu$ m to 800  $\mu$ m  
FWHM at 5 kV
- Milling angle range from 0 to 10 degree

**Leica RES101**



**PIPS™ II**



### Advantages of PIPS™ II

- X,Y stage permits alignment of argon beams to region of interest on the sample (**Very precise location**)
- Improved collimated beam provides useable voltages as low as 100 volts for rapid and damage free preparation of FIB lamella



## General steps:

1. Cut thin slices (less than 1 mm) from the bulk sample;
2. Polish the slices down to around 100  $\mu\text{m}$ ;
3. Punch into standard size (3 mm diameter);
4. Continually polishing down to 30-50  $\mu\text{m}$  by hand;
5. Dimpling down to around 10  $\mu\text{m}$ ;
6. Perforation by  $\text{Ar}^+$  milling;



Linear Precision Saws



OptiPrep™ Polishing System

\*Desired sample angles are obtained based on geometric orientation built into the fixture.



Abrasive Slurry Saw



Low Speed Saw



Wire-Electrode Cutting

## General steps:

1. Cut thin slices (less than 1 mm) from the bulk sample;
2. Polish the slices down to around 100  $\mu\text{m}$ ;
3. **Punch into standard disk (3 mm diameter)\*;**
4. **Continually polishing down to 30-50  $\mu\text{m}$  by hand;**
5. **Dimpling down to around 10  $\mu\text{m}$ ;**
6. **Perforation by  $\text{Ar}^+$  milling;**

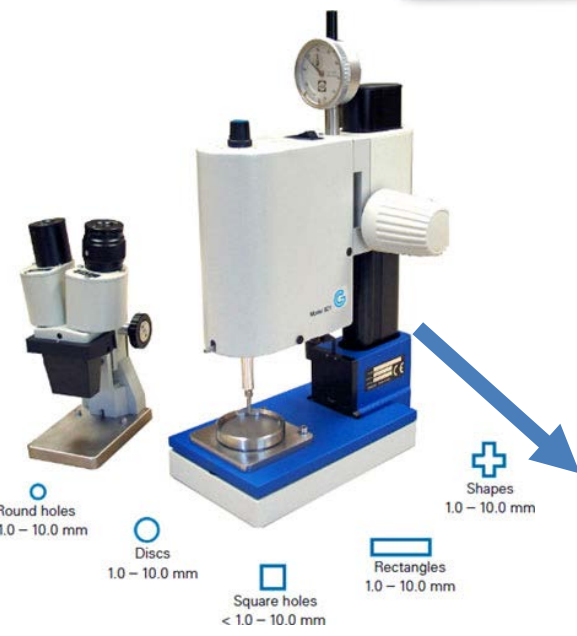
\* If your slice is not in standard size, you need to use grids.



Punch Equipment



Ductility Materials

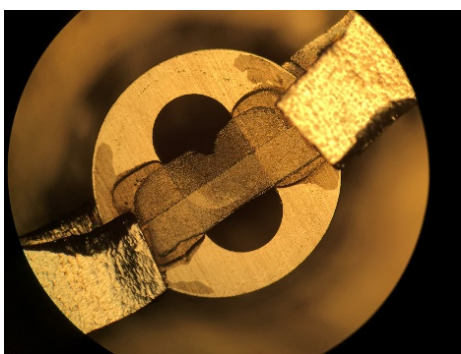


Ultrasonic Cutter

Both Brittle and Ductility Materials



Disk Grinder System

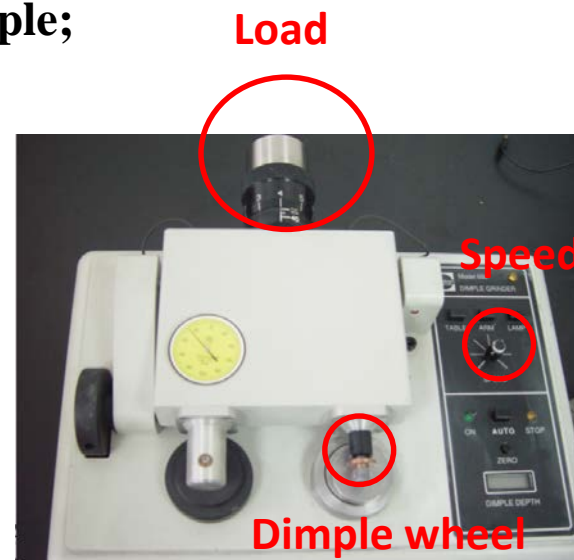




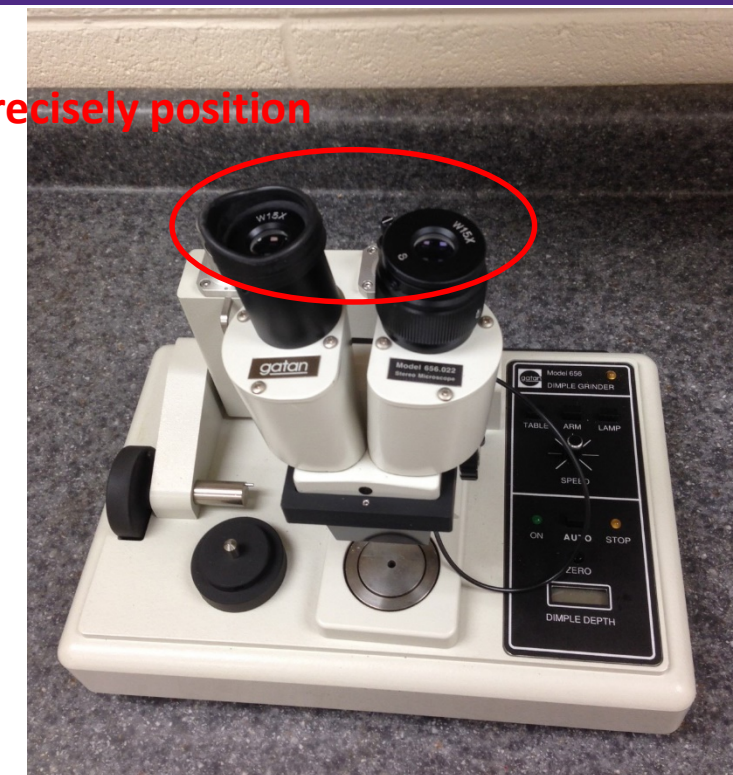
## General steps:

1. Cut thin slices (less than 1 mm) from the bulk sample;
2. Polish the slices down to around 100  $\mu\text{m}$ ;
3. Punch into standard disk (3 mm diameter)\*;
4. Continually polishing down to 30-50  $\mu\text{m}$  by hand;
5. **Dimpling down to around 10  $\mu\text{m}$ ;**
6. Perforation by  $\text{Ar}^+$  milling;

\* If your slice is not in standard size, you need to use grids.



Precisely position



## Gatan 656 Dimple Grinder

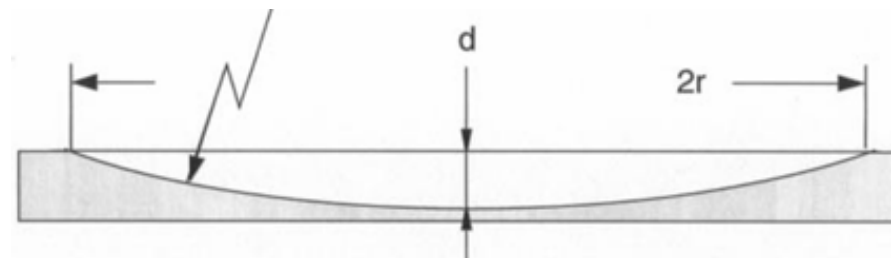
Diameter of dimple wheel,  $D$

Diameter of the pit,  $2r$

Depth of the pit,  $d$

$$d=r^2/D$$

***$D$  can be 10, 15, 20, 25 mm;***

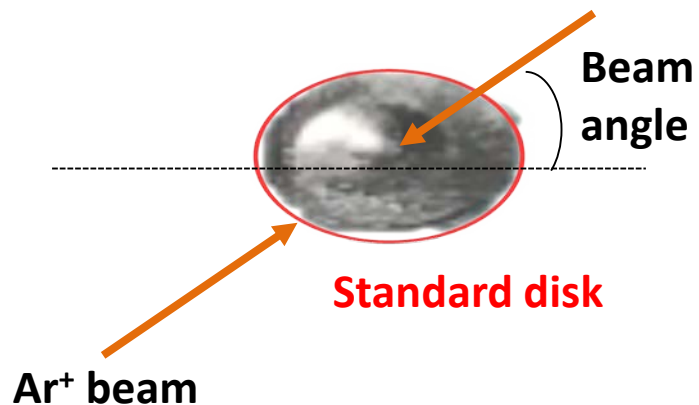




## General steps:

1. Cut thin slices (less than 1 mm) from the bulk sample;
2. Polish the slices down to around 100  $\mu\text{m}$ ;
3. Punch into standard disk (3 mm diameter)\*;
4. Continually polishing down to 30-50  $\mu\text{m}$  by hand;
5. Dimpling down to around 10  $\mu\text{m}$ ;
6. Perforation by  $\text{Ar}^+$  milling;

\* If your slice is not in standard size, you need to use grids.



## Fischione 1050 TEM Mill



## Model 1050 TEM Mill Milling Rates

The milling rates are based on the following milling parameters:

- Energy: 5 kV
- Number of ion sources: 2
- Beam size: 1.5 mm
- Beam angle:  $\pm 10^\circ$
- Laser sensitivity: 15%

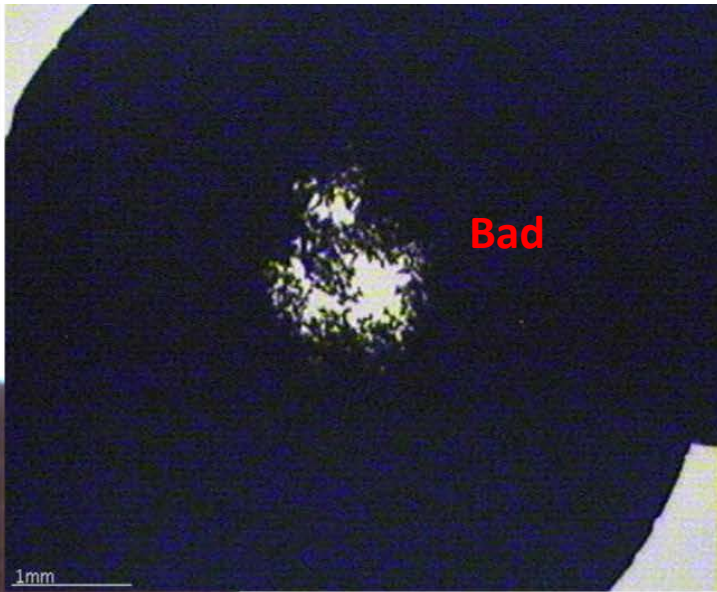
Material	$\mu\text{m}/\text{hour}$
Nickel	12.1
Tantalum	7.9
Aluminum	23.3
Titanium	15.7
Tungsten	7.1
Molybdenum	7.6
Stainless steel	11.3
Silicon	10.6

## Main adjustable parameters:

- Voltage (0-6 kV);
- Beam angles (0-10 degree);
- Temperature (-150  $^\circ\text{C}$  to room temperature);



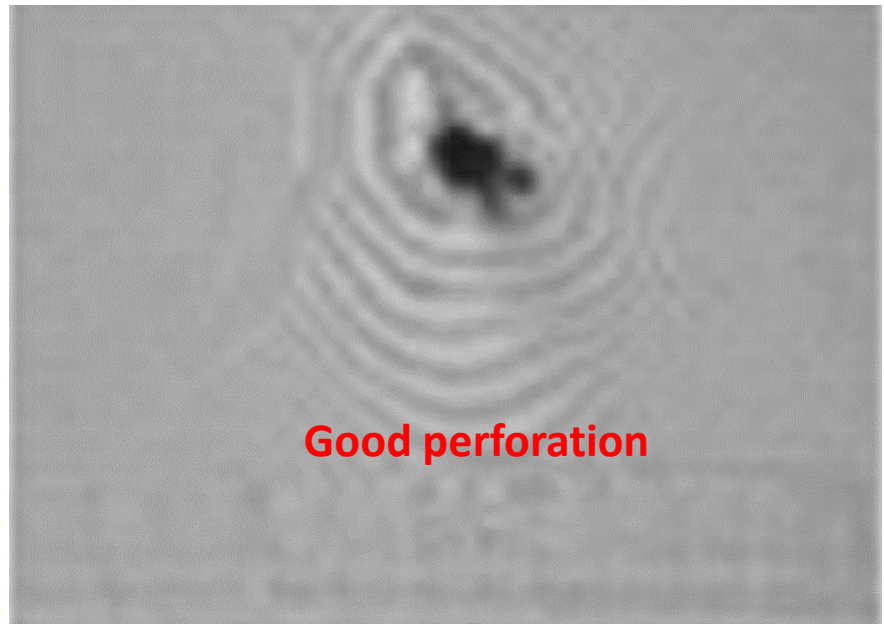
Good



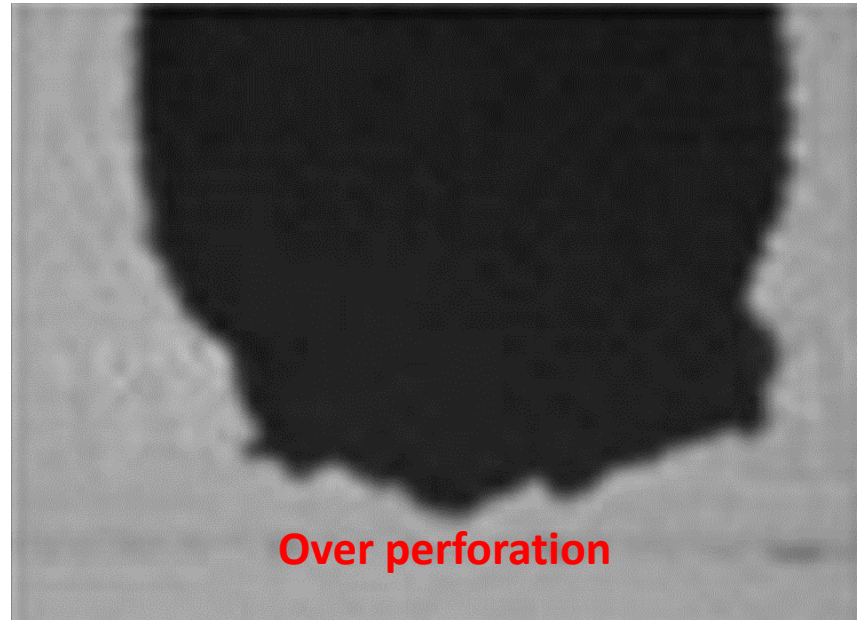
Bad



Good

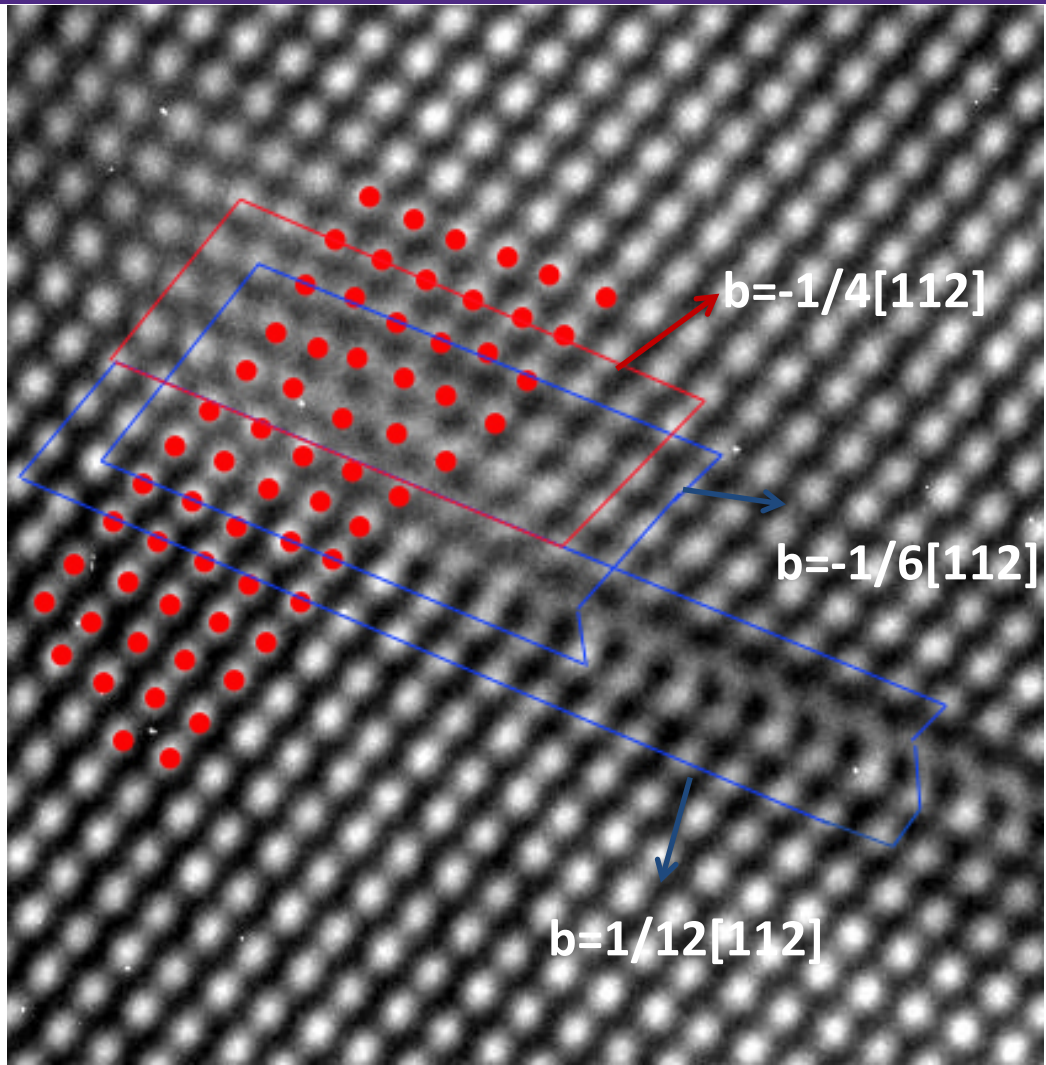


Good perforation



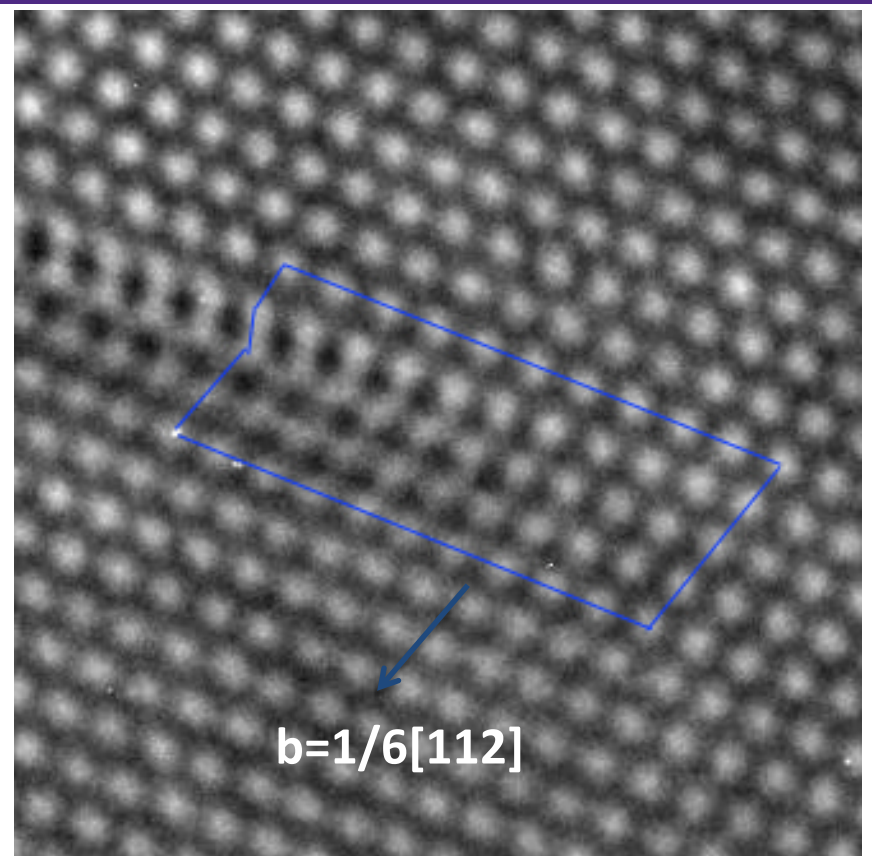
Over perforation





Dislocation dipole ?  
Two 60° dislocation?

Ni<sub>3</sub>Al foils



Two edges of the former SF

$b_1 = 1/2\langle 110 \rangle = 1/6\langle 211 \rangle + \text{SF} + 1/6\langle 12-1 \rangle$   
 $60^\circ \text{ dislocation} = 30^\circ \text{ dislocation} + 90^\circ \text{ dislocation} + \text{SF}$   
 $b_2 = 1/2\langle 110 \rangle \quad 60^\circ \text{ dislocation}$

Atomic scale revealing the dissociation of dislocations and the interactions among dislocations.

Unpublished work



# Electrochemical Method



**Struers TenuPol-5**

## General steps:

1. Cut thin slices (less than 1 mm) from the bulk sample;
2. Polish the slices down to around 100  $\mu\text{m}$ ;
3. Punch into standard disk (3 mm diameter);
4. Continually polishing down to 30-50  $\mu\text{m}$  by hand;
5. Perforation using the electrochemical method;

## Critical parameters:

- Low temperature (Liquid N<sub>2</sub>);
- Suitable electrolyte;
- Suitable voltage and current;

## Advantages

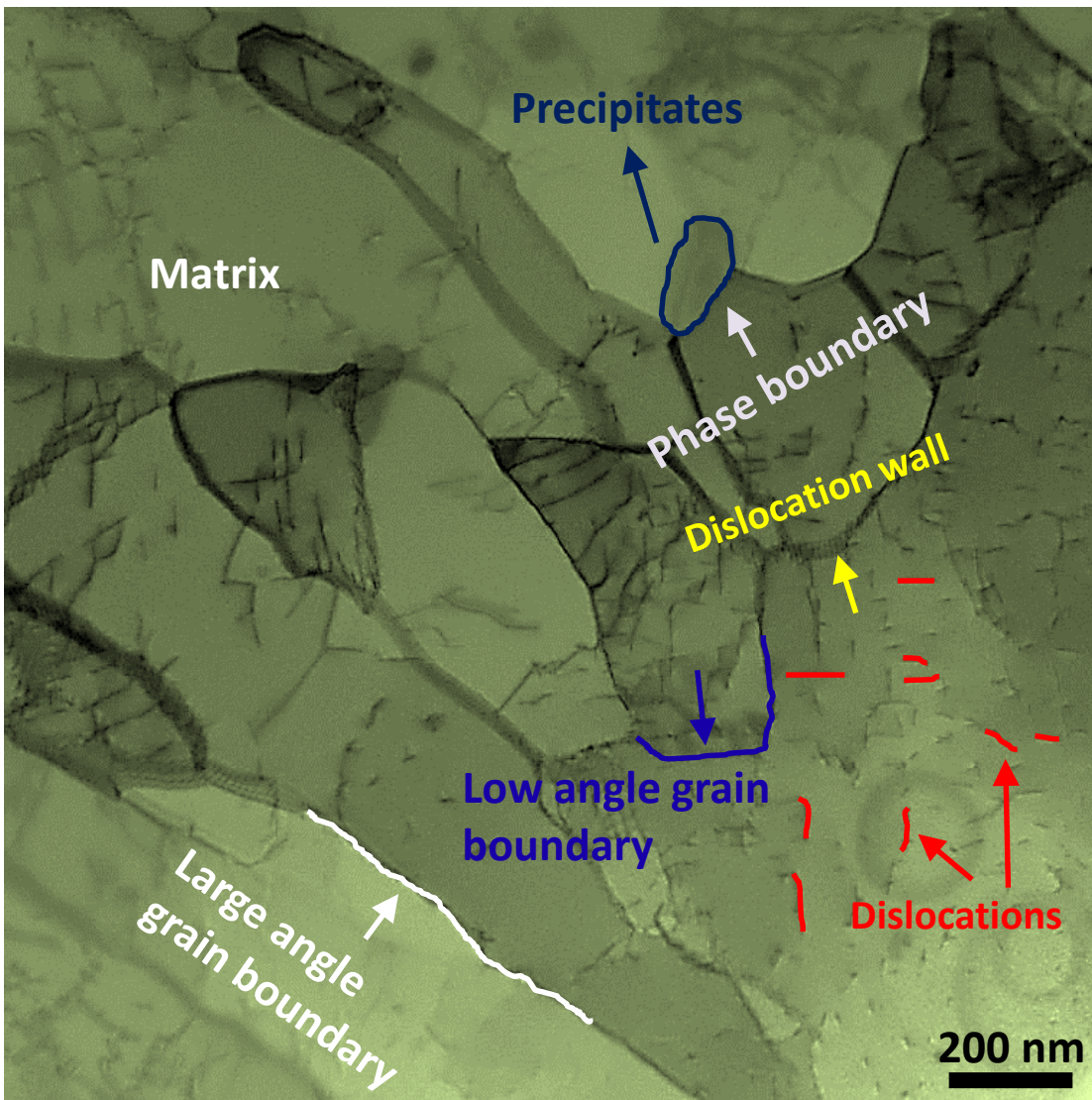
- ✓ Relatively fast/efficient;
- ✓ No additional ion beam damage;

## Disadvantages

- ✓ Only samples having conductivity;
- ✓ Poor controllability;
- ✓ May lose the secondary phases;
- ✓ Low success rate;
- ✓ May introduce contamination;

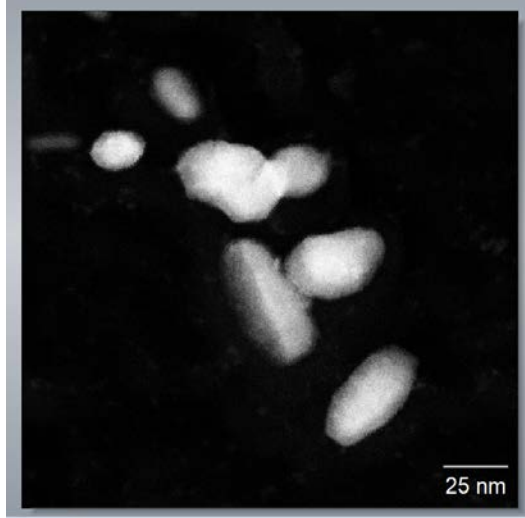
## Some representative applications:

- ❑ Various kinds of engineering alloys;



**Electrochemical twin-jet method**

### Extraction of secondary phases

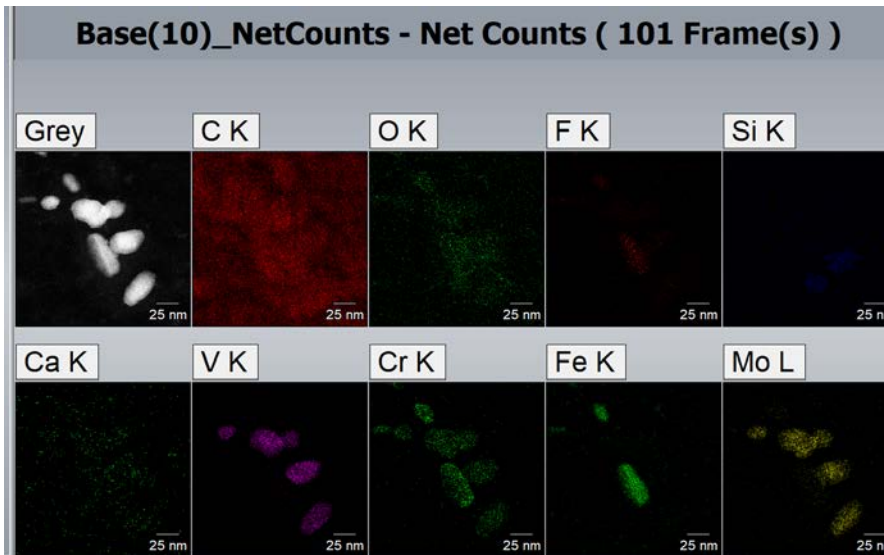


#### Advantages

- ✓ Relatively accurate composition for smaller particles (less than 10 nm);

#### Disadvantages

- ✓ Not robust;
- ✓ Lose interfacial/orientation information;
- ✓ Poor controllability;
- ✓ Not good for high quality analyses;



In courtesy of Dr. Jeffery Lin

# Microtome Method

- From -180 °C to room temperature
- Section thickness from several nanometers to 15 micrometers
- Glass knives and diamond knives



Leica UC7/FC7 Cryo-Ultramicrotome

## Advantages

- ✓ Very gentle/robust;

## Disadvantages

- ✓ May introduce contamination within TEM columns;

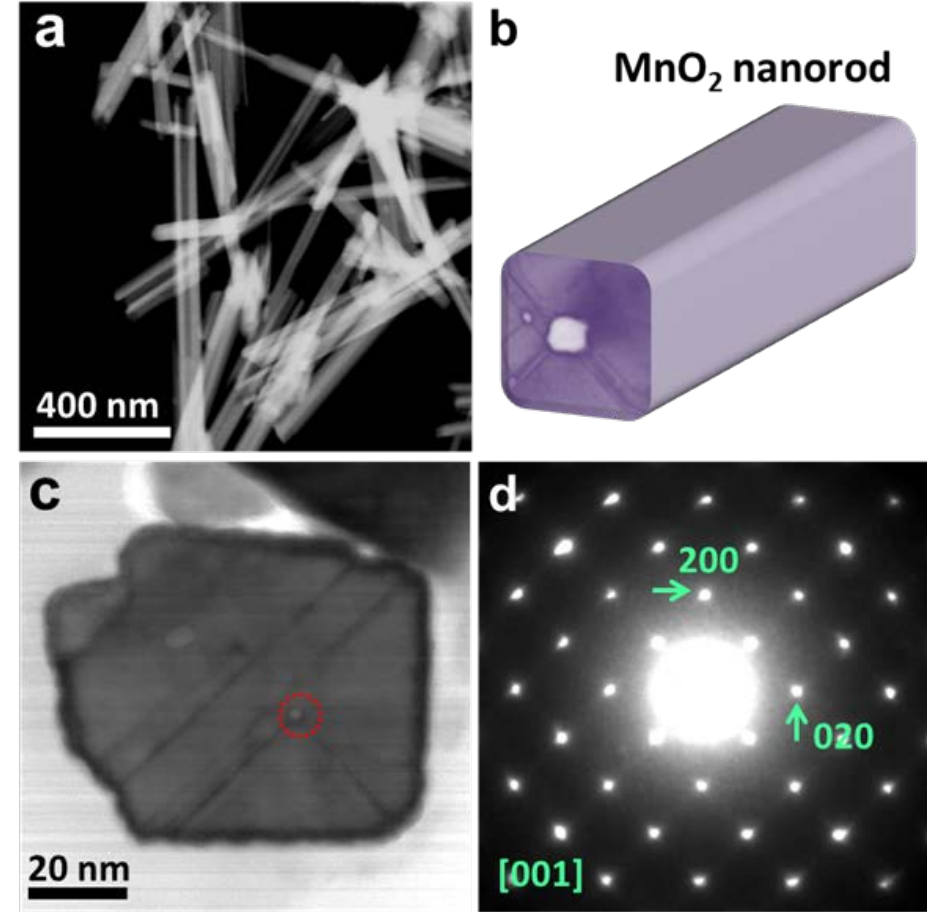
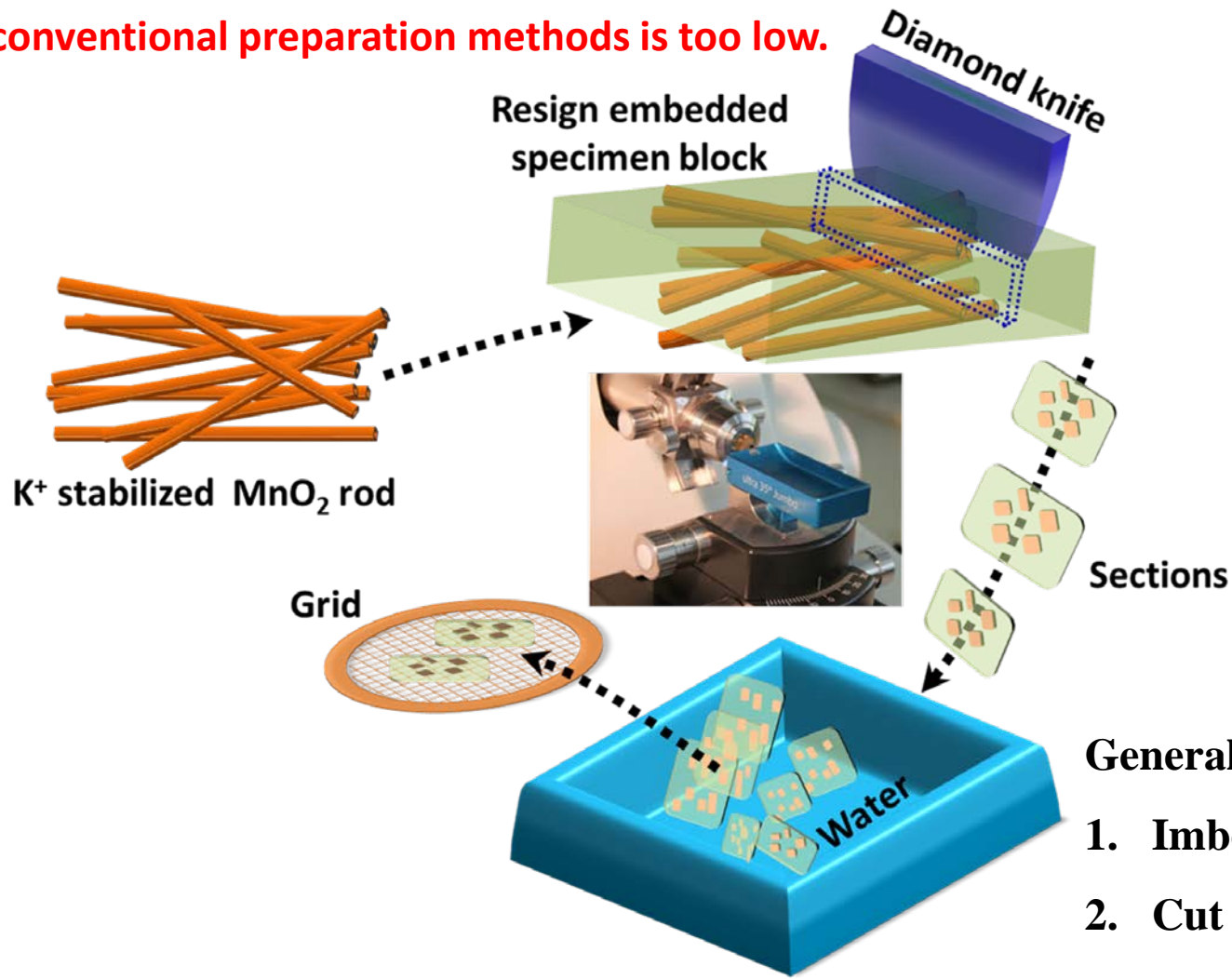
Some representative applications:

- Observations from the cross-section of the nanorod;
- Powder samples with the size of micrometers;
- Samples with irregular shape with the size of micrometers (e.g. hair, copper wire....)
- Biological samples
- .....



# Cross-section of Nanorod

Reasons: The occurrence of rod along cross-section probability in conventional preparation methods is too low.



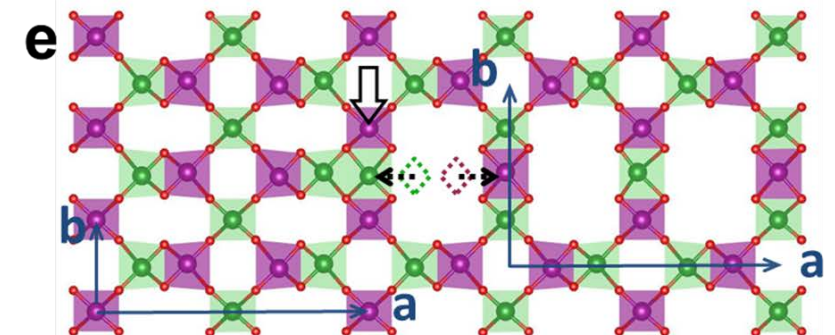
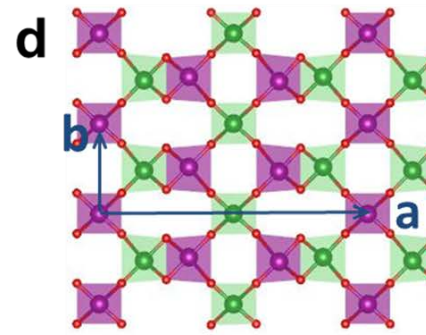
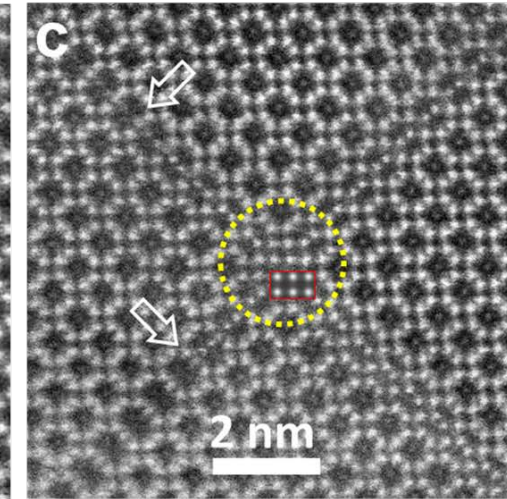
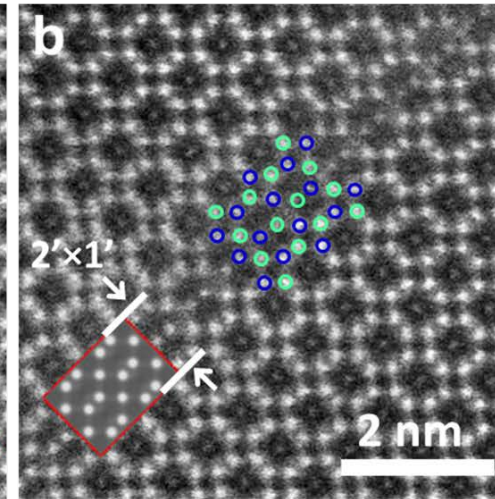
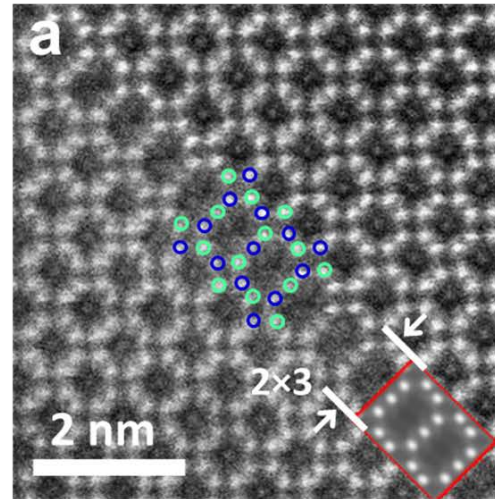
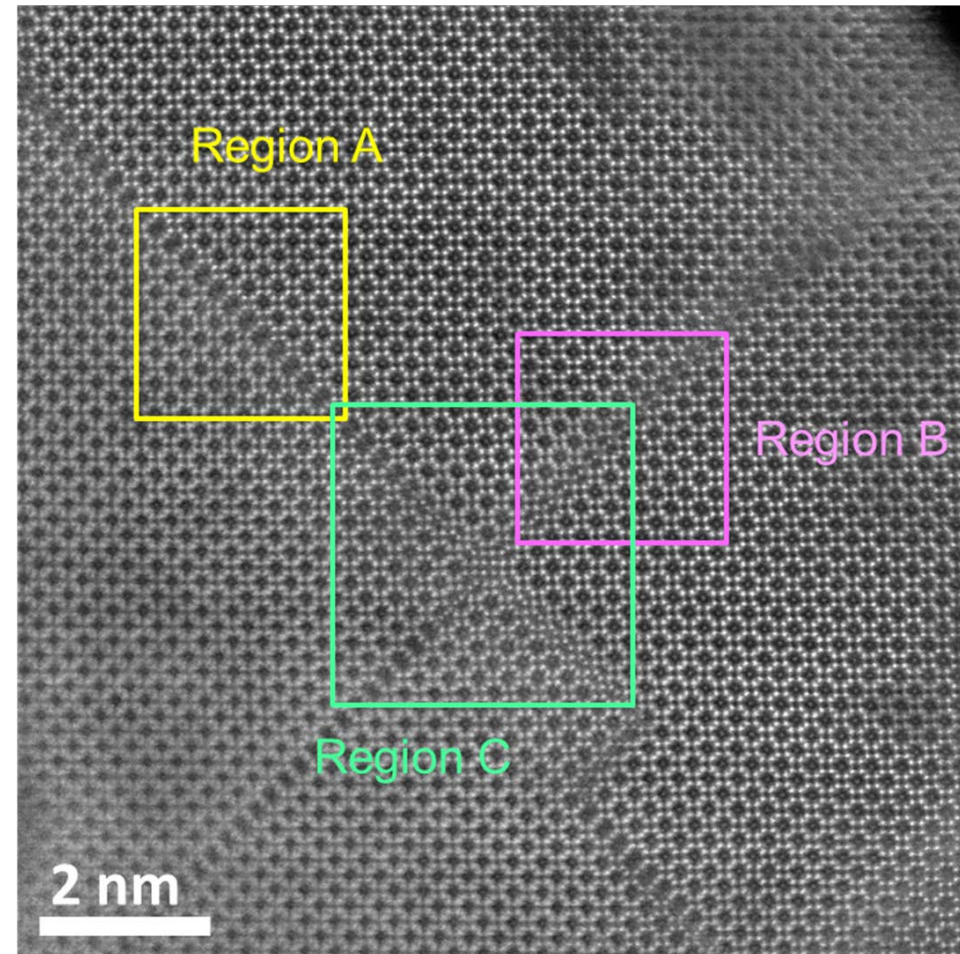
General steps:

1. Imbed the sample with resins;
2. Cut the consolidated resins using suitable settings;
3. Transfer the sections to TEM grid;

Unpublished work



# Cross-sectional features of MnO<sub>2</sub> nanorod



Atomic scale nanostructural features within hollandite MnO<sub>2</sub> revealed along the cross section.

Unpublished work

# FIB Method



## Advantages

✓ Very precise;

## Disadvantages

✓ Ion beam damage may happen;

✓ Easily contaminated within the TEM columns;

✓ Not economical;

Some representative applications:

Cross-section of thin films particularly the water/air sensitive films;

Super hard materials and films (e.g. diamond, BN, SiC, Al<sub>2</sub>O<sub>3</sub>...)

Very precious/small/irregular bulk samples

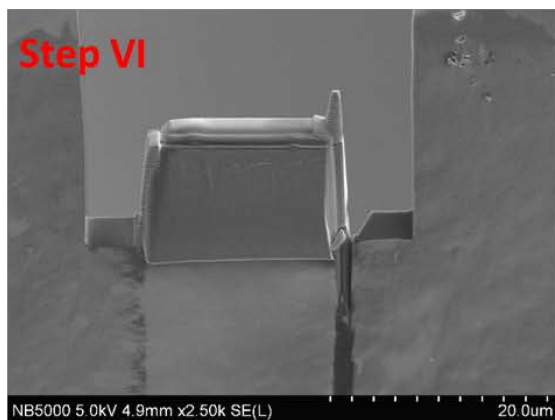
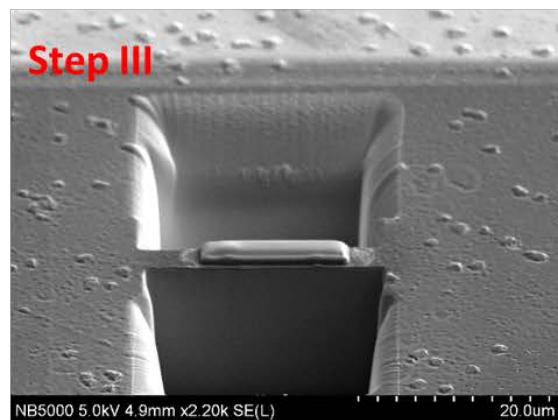
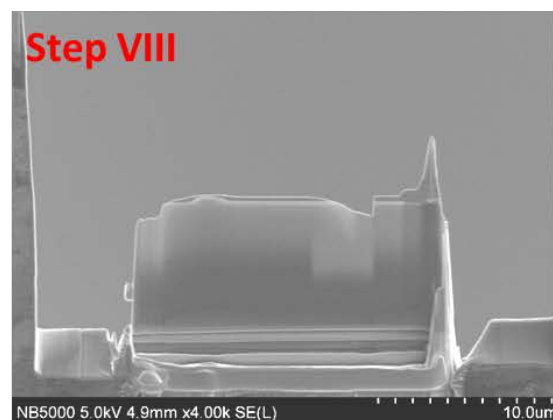
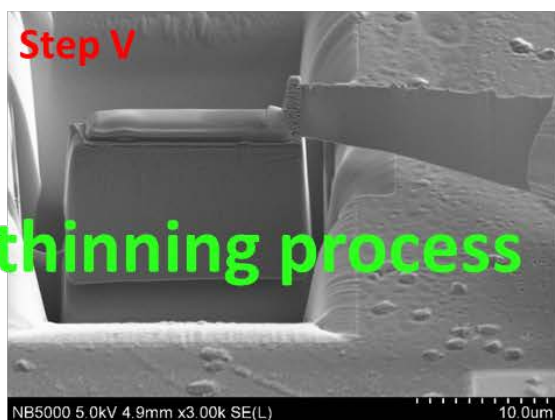
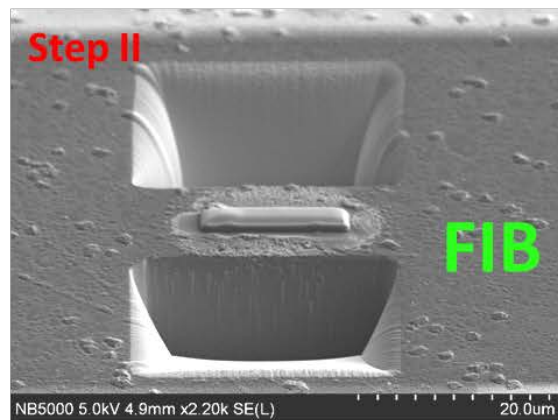
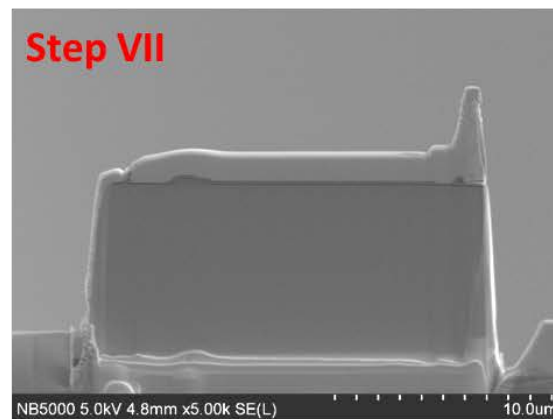
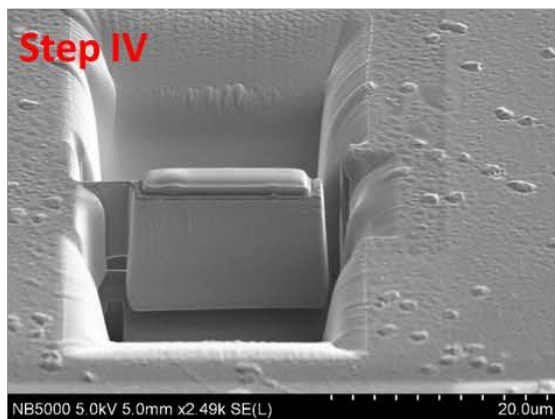
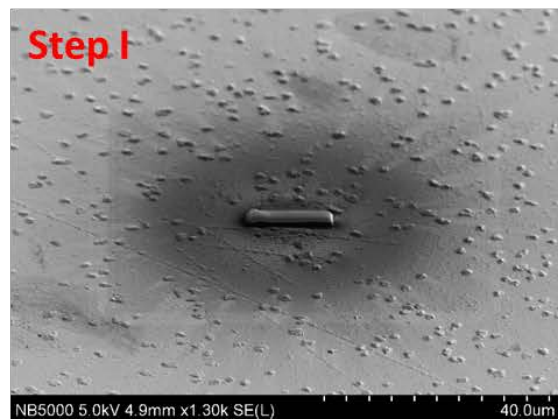
Microsphere powder samples

Precise locations pickup

.....

- Five gas injection systems for etching/deposition
- Dual beam
- Bruker EDS
- Raith ELPHY ion beam lithography (IBL) capabilities
- Lift out tools for TEM and Atom Probe samples





FIB thinning process

Substrate: Solid electrolyte  $\text{La}_{(1-x)/3}\text{Li}_x\text{NbO}_3$  (LLNbO)

Li content  $x=0.05$ , (111)<sub>p</sub>

Thin film: Cathode material  $\text{LiMn}_2\text{O}_4$  ;  
thickness 100nm

Used facility: HITACHI NB5000

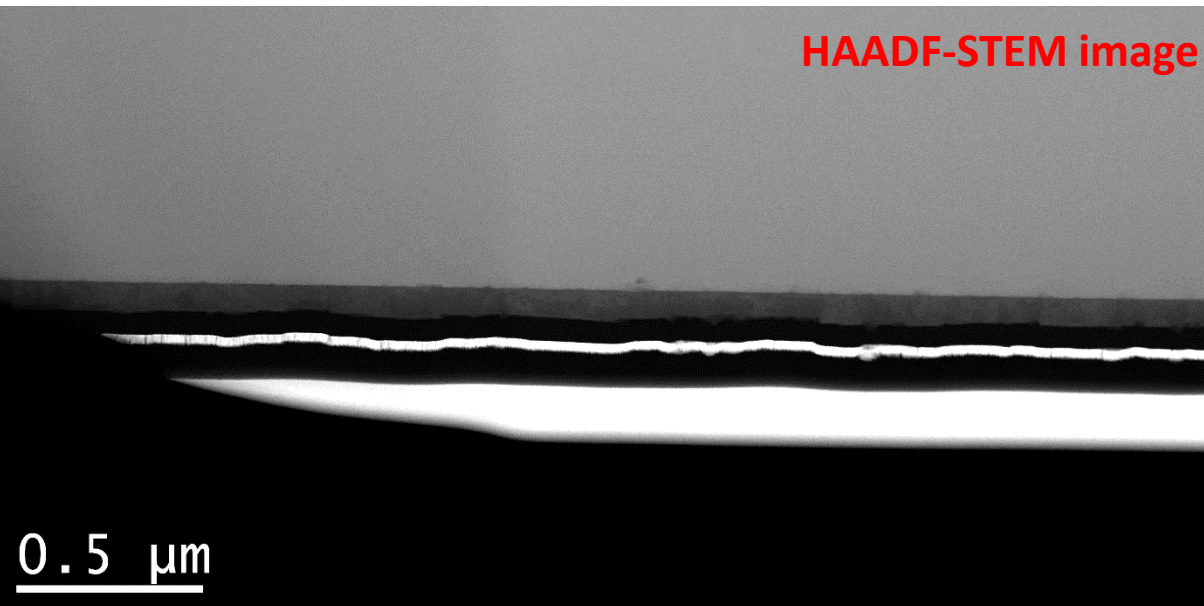
Reasons: This thin film contains Li. It is better to avoid water/air.

### General steps:

1. Deposition Pt/C to protect the surface;
2. Lift out a thin slice;
3. Thinning the slice;
4. Using weak conditions to remove the beam damage;

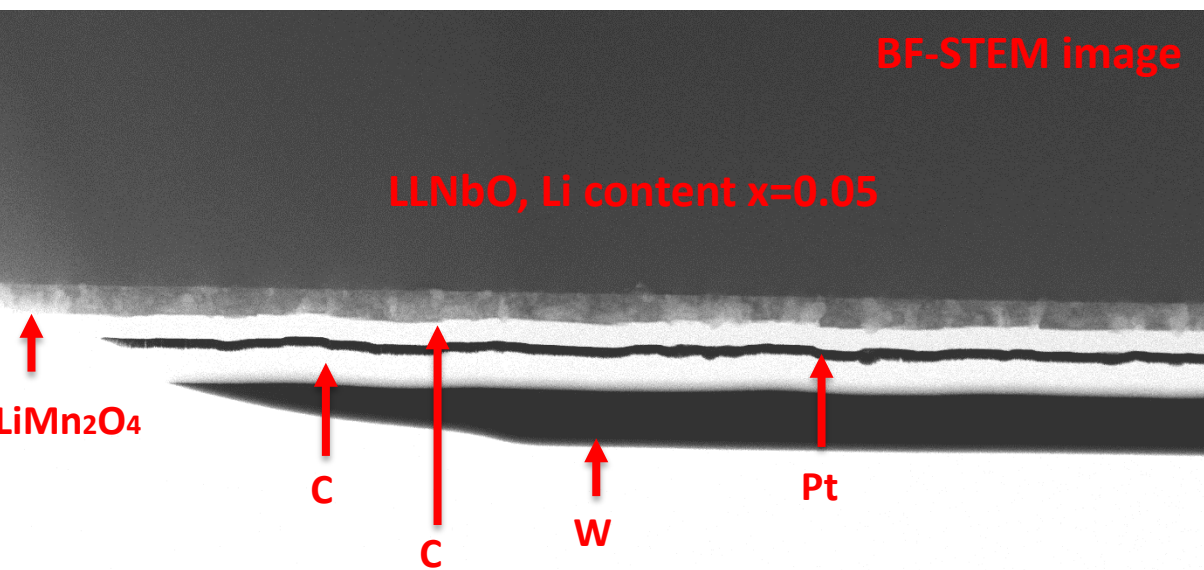


HAADF-STEM image

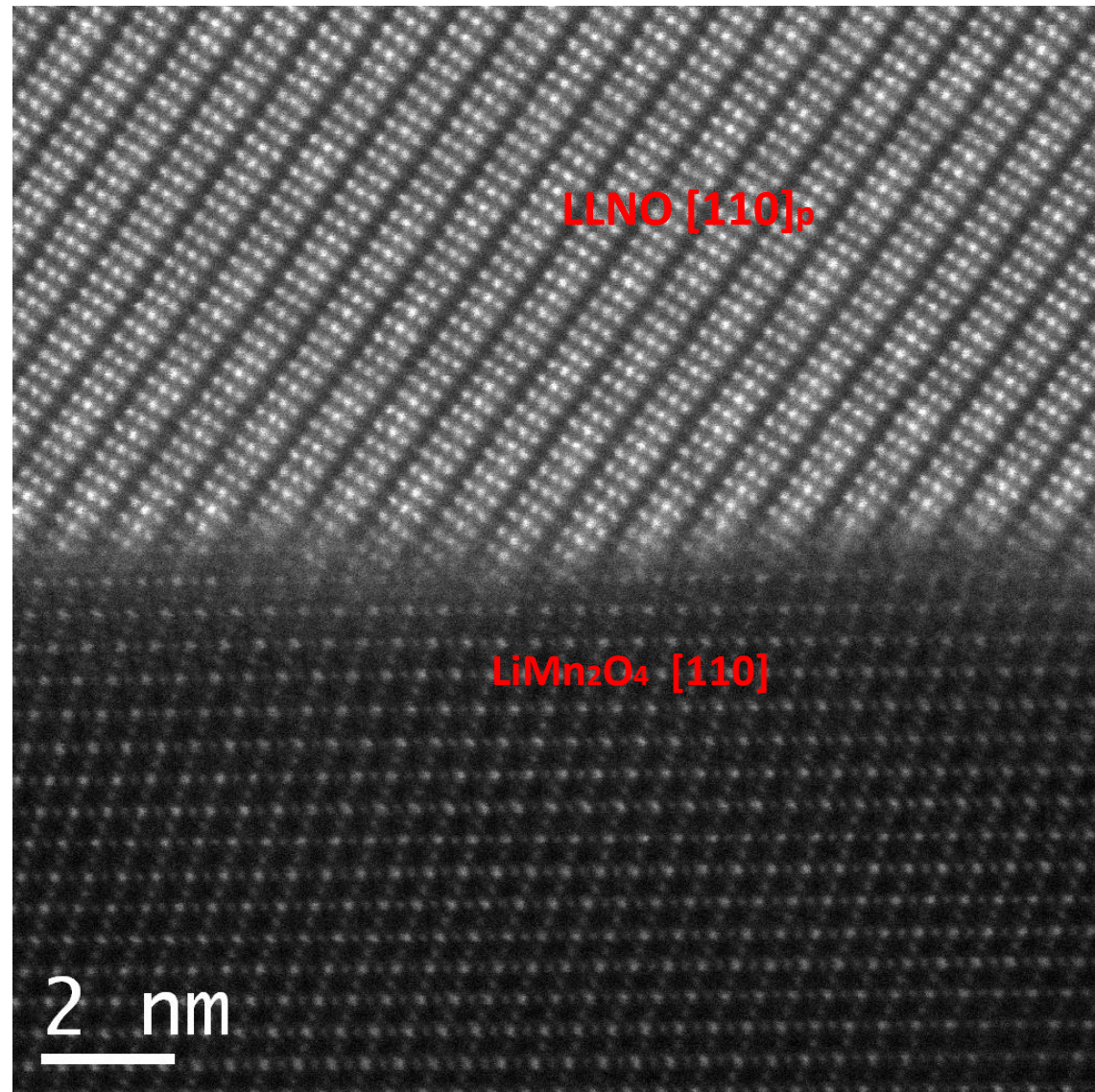


BF-STEM image

LLNbO, Li content  $x=0.05$



LLNO [110]<sub>p</sub>



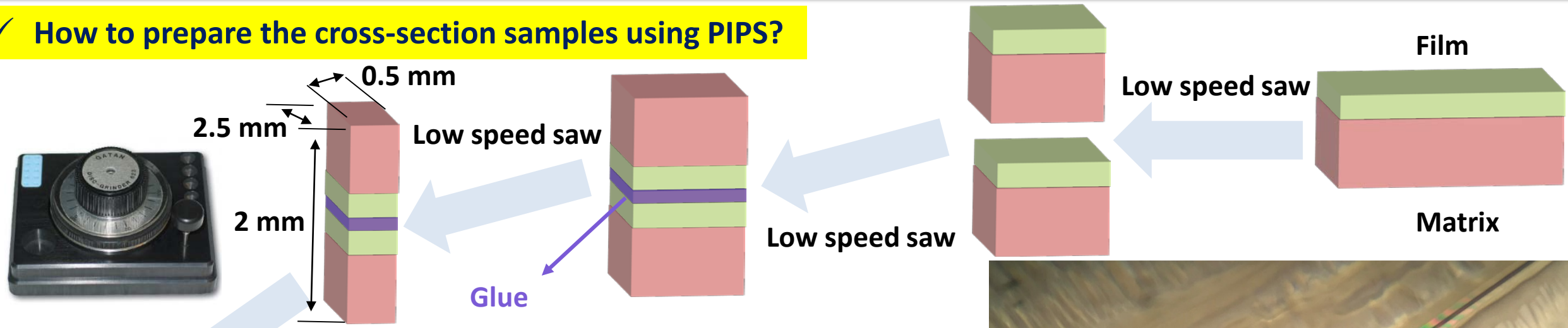
Atomic scale revealing the interfacial between solid electrolyte and cathode materials.

Unpublished work

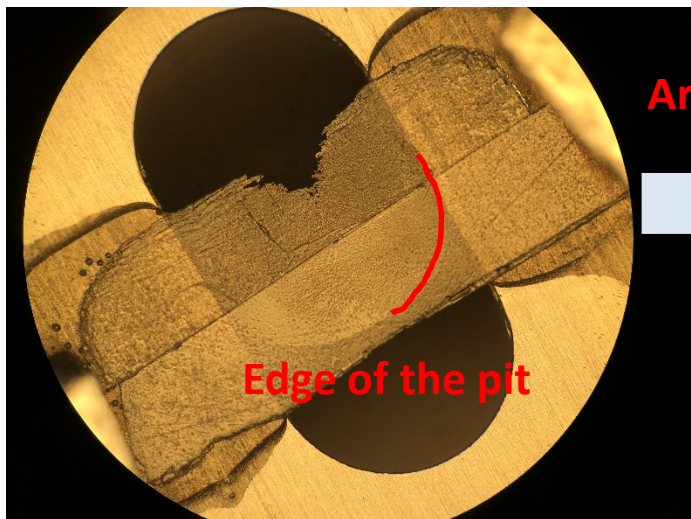


# Some specific complicated cases

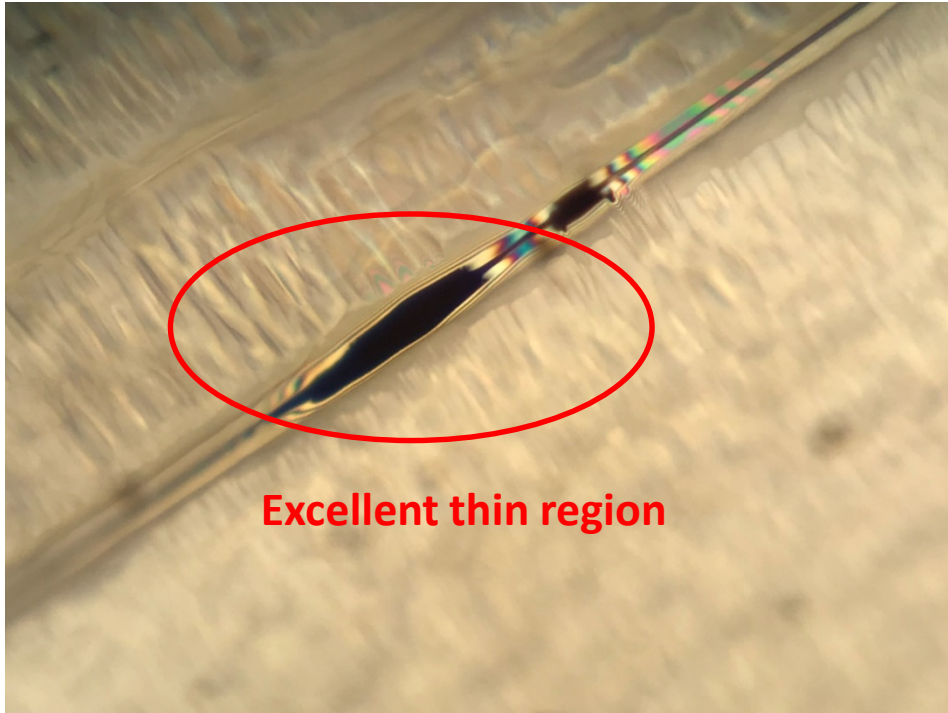
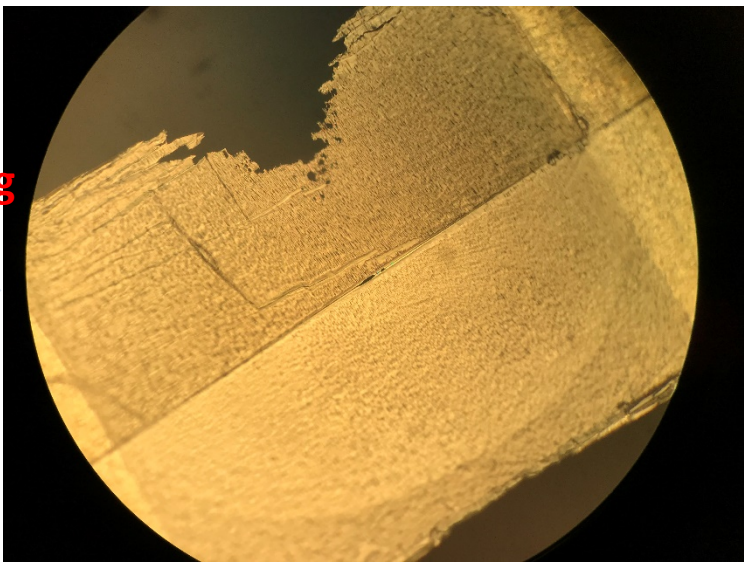
✓ How to prepare the cross-section samples using PIPS?



Mount slice on the Mo grid, polishing, and dimpling



Ar<sup>+</sup> Milling



In courtesy of Dr. Yunlong Tang

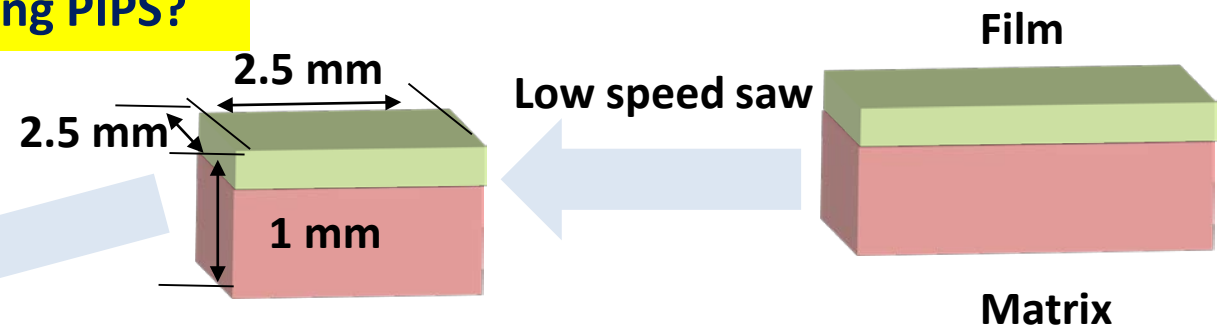


# Some specific complicated cases

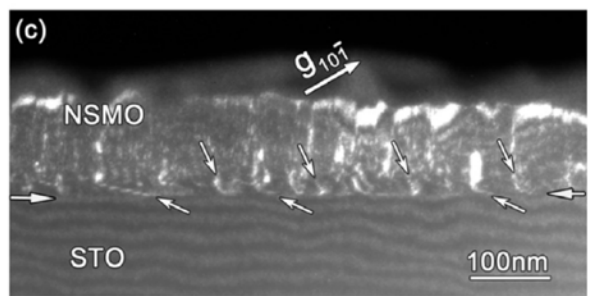
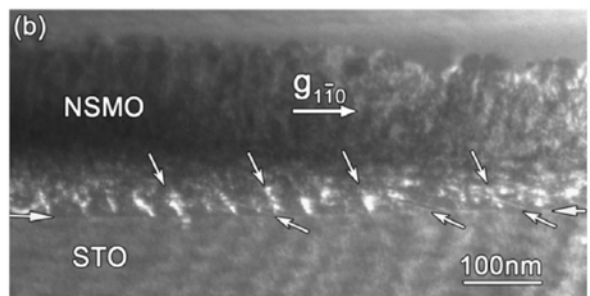
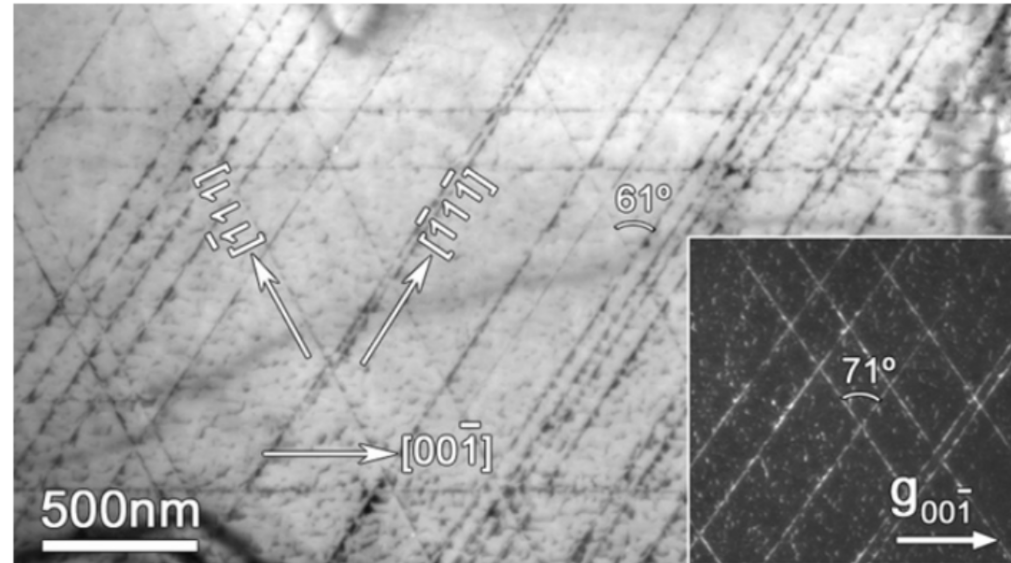
## ✓ How to prepare the plan-view samples of thin films using PIPS?

Mount slice on the Mo grid, polishing, and dimpling, ion milling\*

\*For ion milling, you need to make the two ion guns only mill the substrate. Also, you need to attach a filter paper to prevent the deposition.



Dislocations in plan-view



Dislocations in cross-section

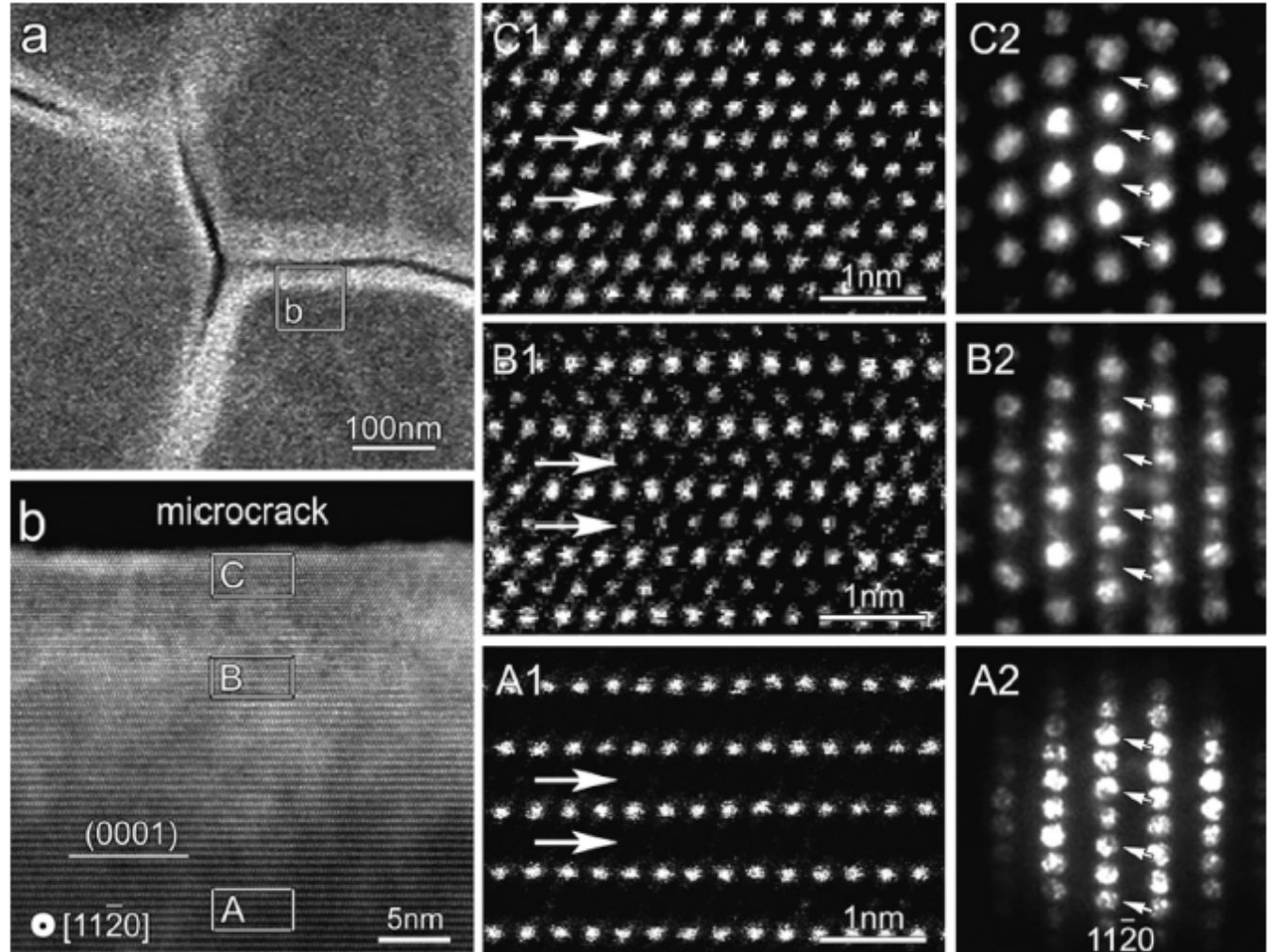
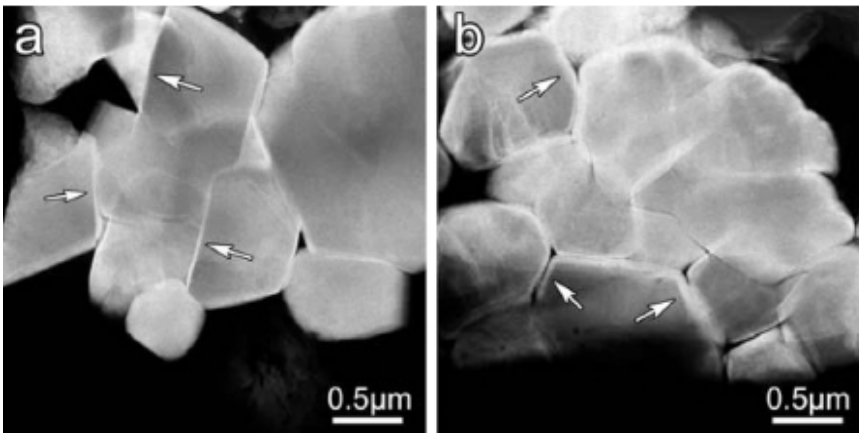
Tang, et al, *Acta Materialia* 60 (2012): 5975-5983

# ✓ Preparation of electron transparent sample for micrometer spherical powders using PIPS

## General steps:

- 1. Imbed the powders within resin;
- 2. Consolidation of the resin;
- 3. Cutting slices with the thickness of around 100  $\mu\text{m}$ ;
- 4. Punch into standard disk with a diameter of 3 mm;
- 5. Polishing, dimpling, ion milling

The boundary and interface information are kept.



Atomic scale revealing the structural/chemical feature in the charged layer LiCoO<sub>2</sub> cathode materials.

Zheng, et al, *Journal of the Electrochemical Society* 158 (2011): A357-A362



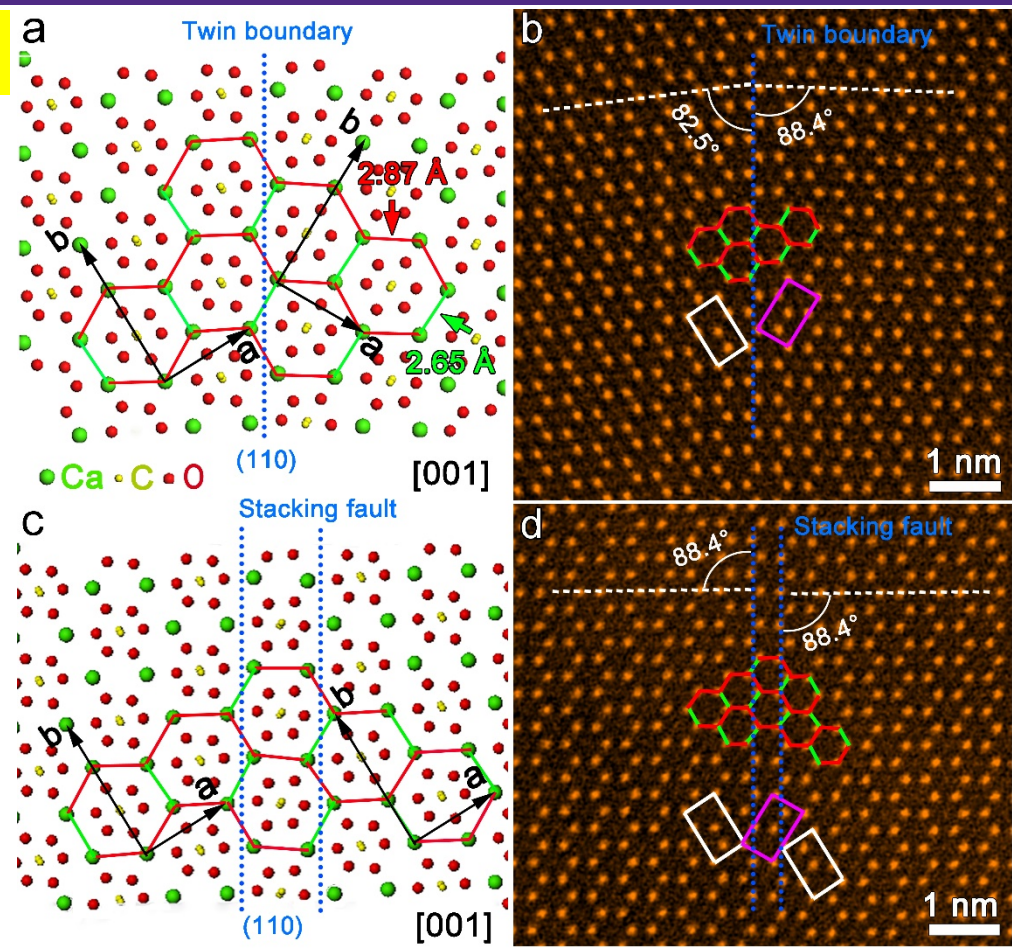
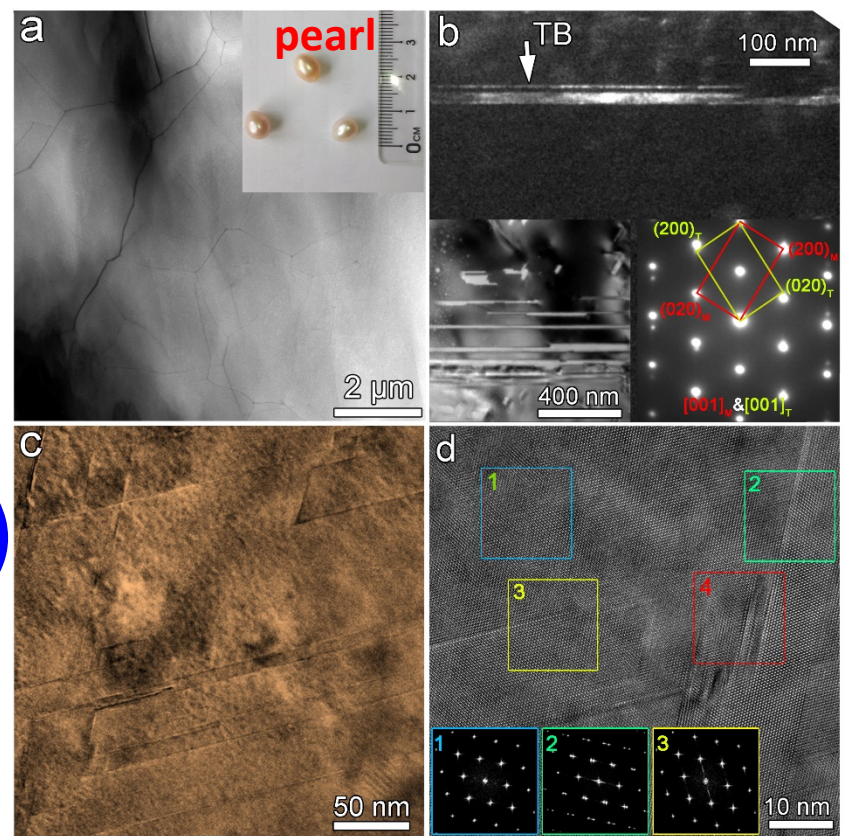
✓ How to make electron transparent samples for shells using PIPS?

General steps:

1. Crush the shells;
2. Pick up a small piece and make one flat surface;
3. Bond the flat surface with the Mo grid;
4. Gently plashing/dimpling;
5. Gentle ion milling;



CaCO<sub>3</sub> (Calcite, Aragonite, Vaterite)



Atomic scale revealing the defect configurations within aragonite structure in "good" pearl.

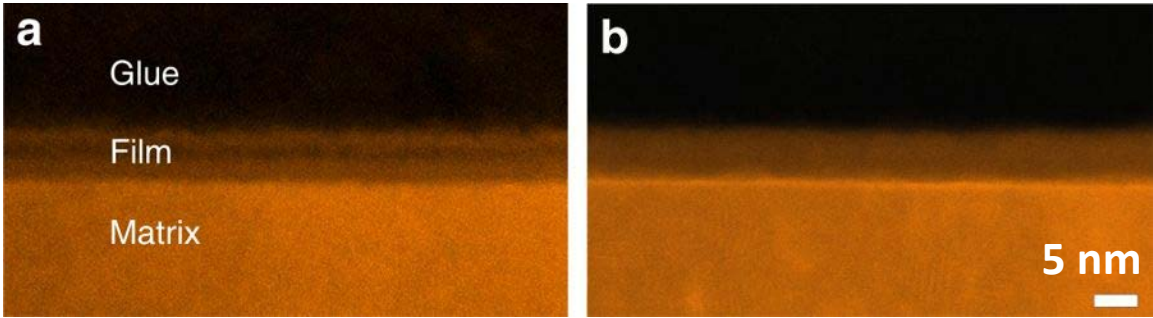
Unpublished work



✓ How to make electron transparent samples for the irregular items?



How to observe the passive film on stainless steel?



If carbon film is deposited on the Al foils, how to observe the interface between Al foil and carbon film?



Foils

Wire



Hair



Zhang, et al, *Nature Communications* 9 (2018): 2559

# Acknowledgements



**NUANCE**

Atomic and Nanoscale  
Characterization Experimental Center

**Prof. Vinayak P. Dravid**

**Dr. Paul Smeets**

**Dr. Roberto Dos Reis**

**Thank you for your attention!**

**Q.&A.**

