



**Lithography at NUFAB:  
For Beginners and the Experienced**



# Lithography History

- ▶ Lithography is the transfer of geometric shapes on a mask to a smooth surface
- ▶ In Greek: Lithos: *stone*, graphein: *to write*

Stencils ~ 10,000 BC



Cave paintings  
Perito Moreno, Argentina

Royal Seals ~ 3000 BC



Cylinder-seal, Uruk period  
and its impression,

woodblock book prints ~ 800 AD



the oldest known dated printed  
book in the world, Tang-dynasty

# Photo(litho)graphy...

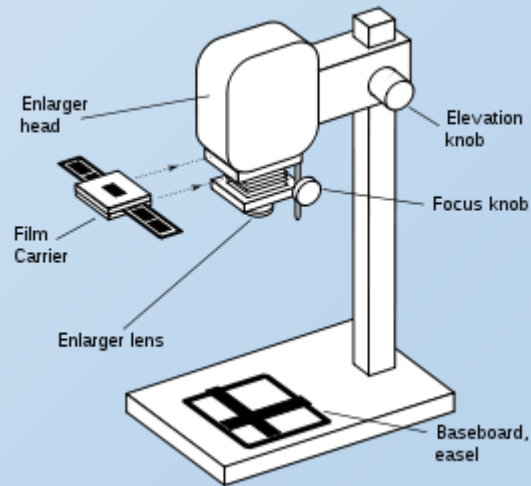
- not the digital one -

- ▶ First photographs by a pinhole camera on Silver Nitrate papers ~ 1840s

Anybody remember this?



- ▶ Agrandisseur (Enlarger)



Grandfather of the mask aligners

# Chronology of photolithography in industry

## Contact Lithography

1<sup>st</sup> IC's ~ 200 um resolution

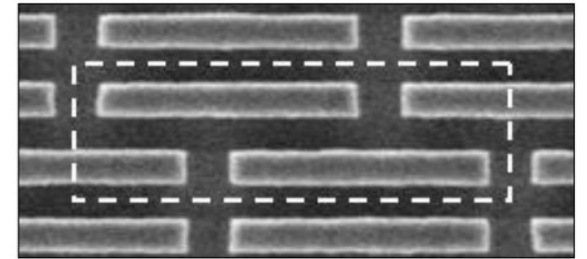


## Proximity lithography

~ 2 um resolution

Worse than contact but less defects and prolonged mask life

22 nm Process



## Step and repeat

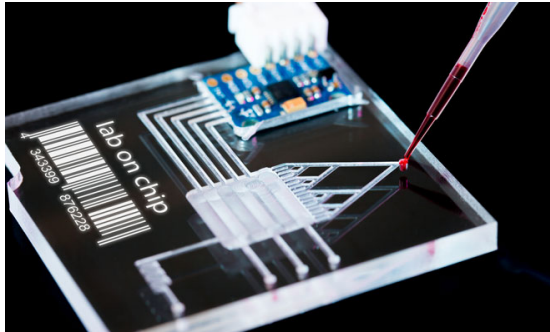
submicron resolution with image reduction

No contacts with the sample so long mask life

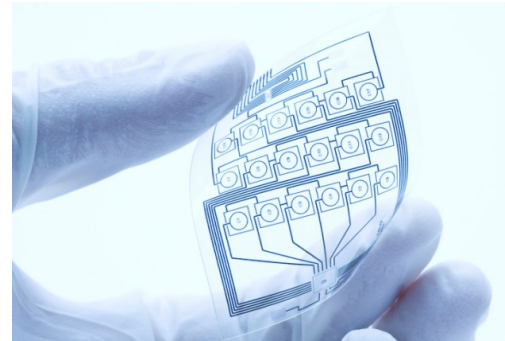
Contact lithography is still commonly practiced today, mainly in applications requiring thick photoresist and/or double-sided alignment and exposure. Advanced 3D packaging, optical devices, Microfluidics and MEMS applications..



# Lithography Applications



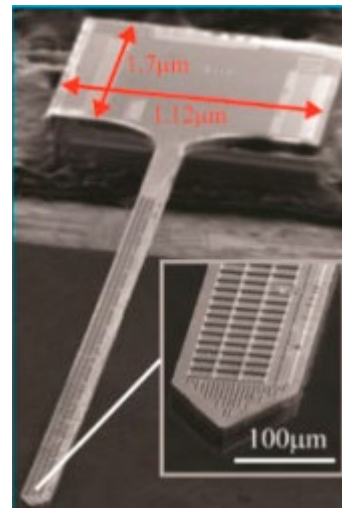
Micro/Nano fluidics



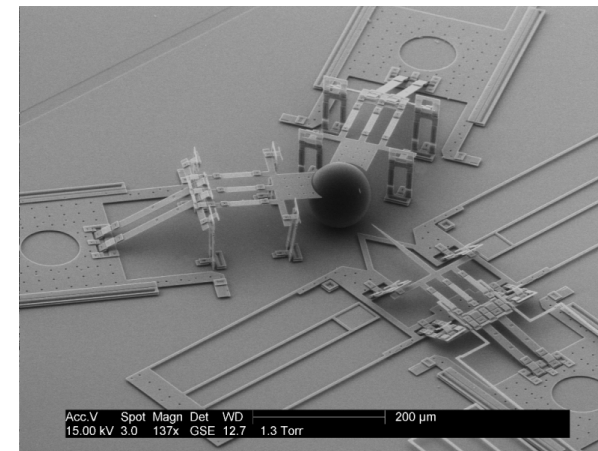
Flexible Electronics



Bioelectronics and implantables



Biosensors

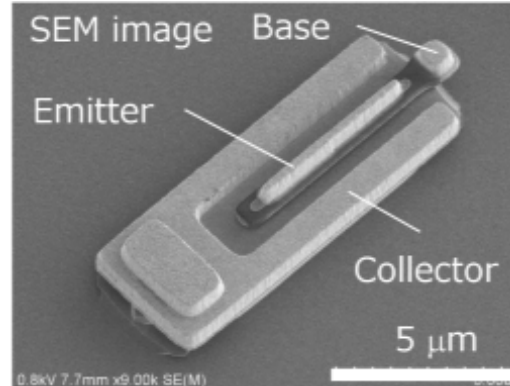


NEMS - nano injector

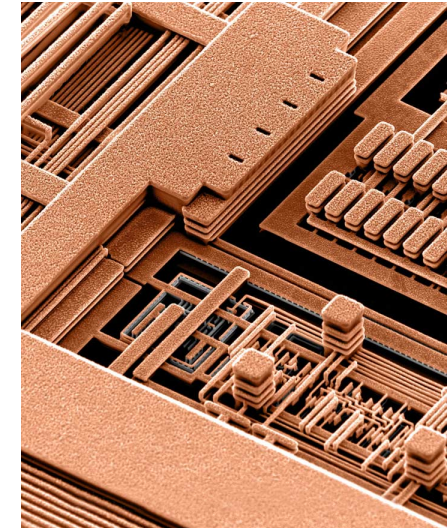
# Lithography Applications contd.



Solar Cells



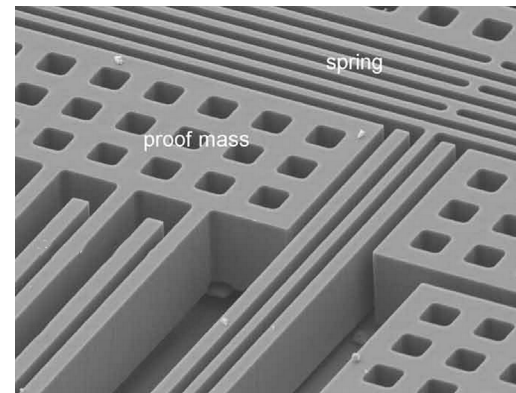
Electronic Devices



Integrated Circuits



MEMS

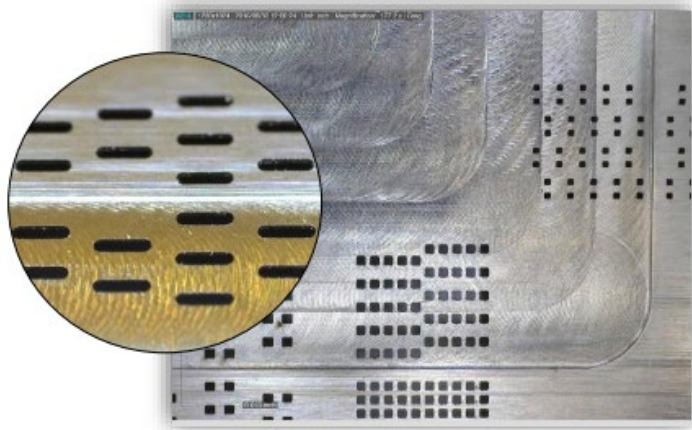


Fitbit Accelerometer

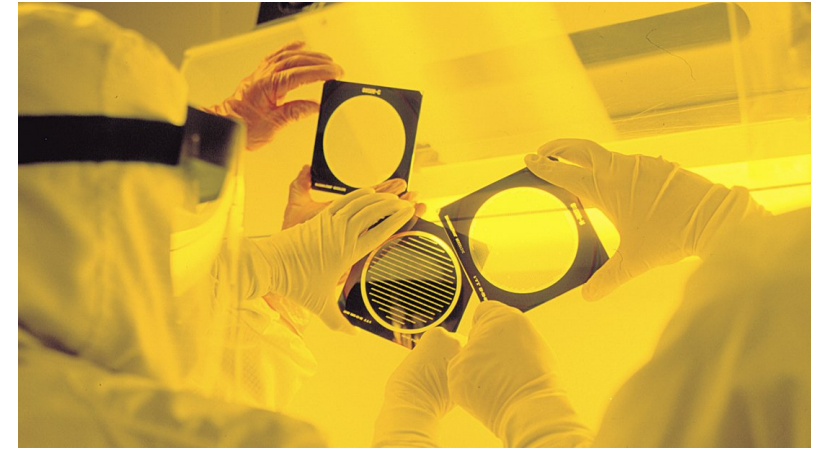




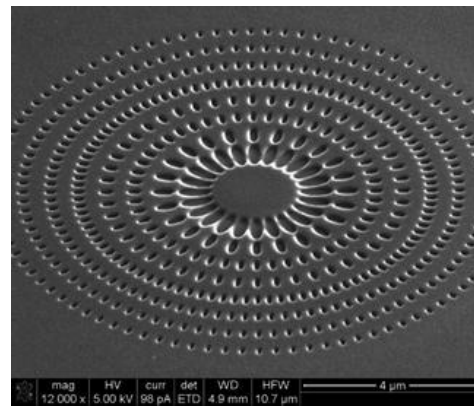
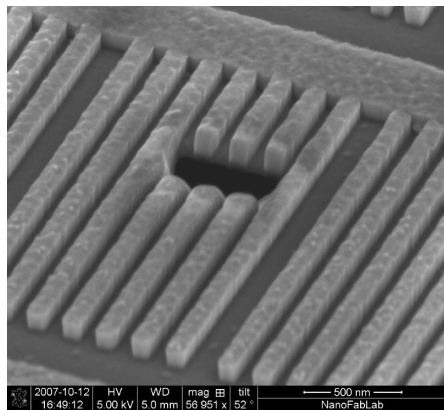
# Types of lithography



Stencil/Hard Mask  
~ 50  $\mu\text{m}$

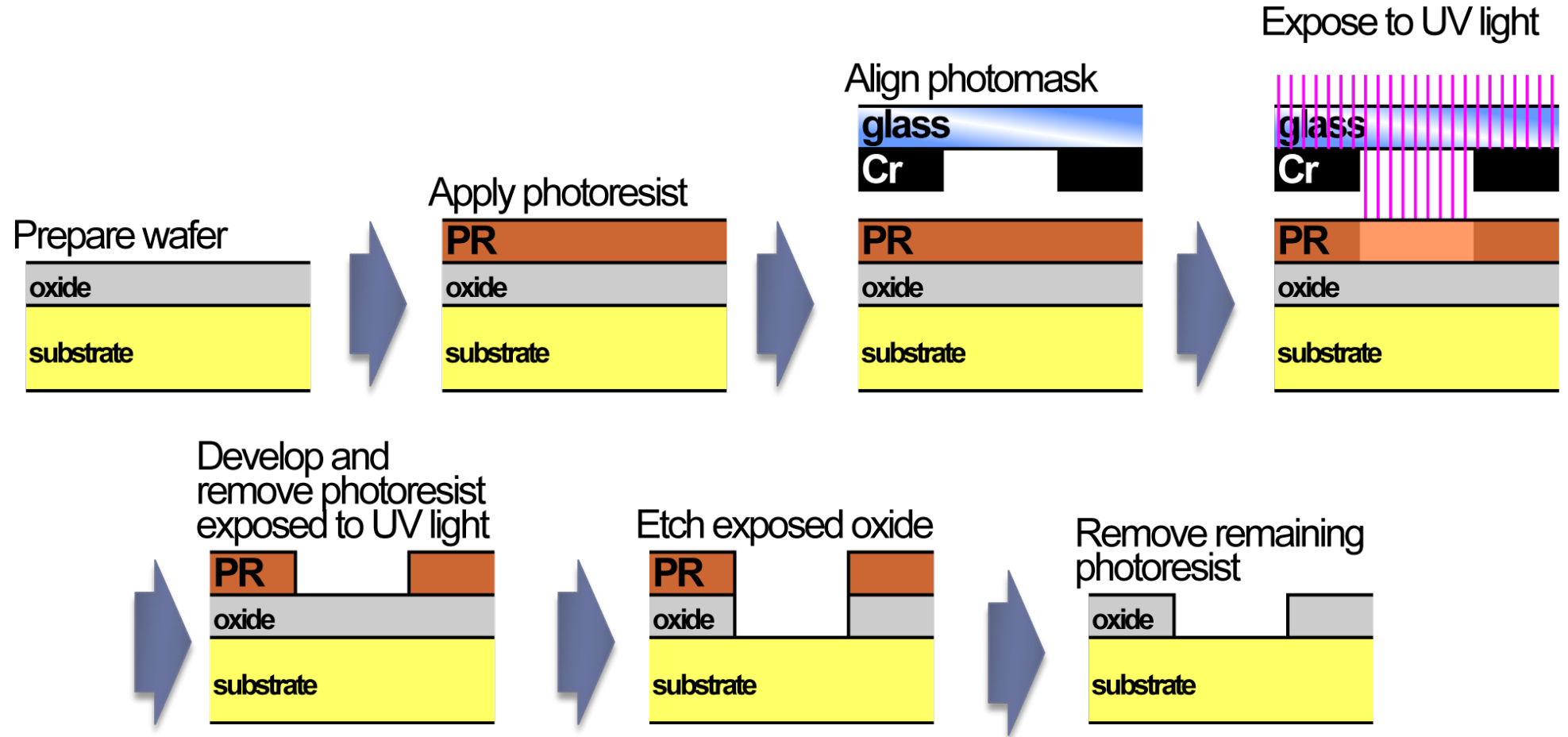


Photolithography:  
UV, EUV, Xray  
~ 0.2  $\mu\text{m}$



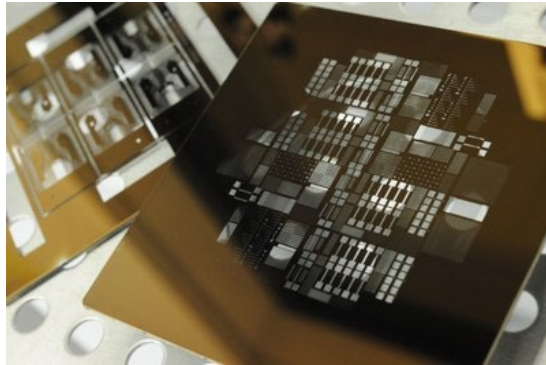
Ebeam Lithography  
and Focused Ion  
Beam milling  
~ 0.01  $\mu\text{m}$

# Photolithography ----- How it works

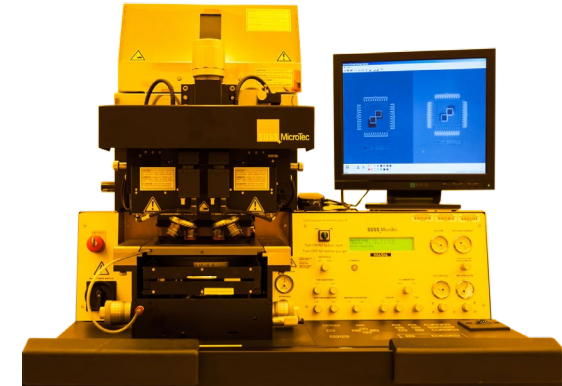




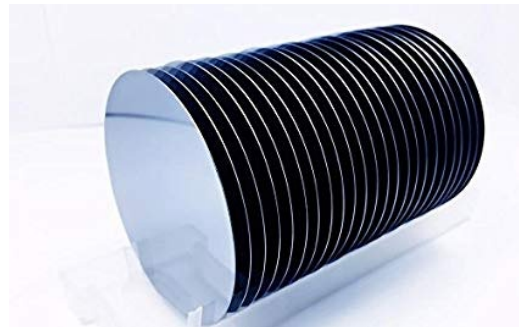
# Required tools



Masks: Chromium or Iron oxide plates



Mask aligner: typically has a UV source such as Hg lamp or UV LED



Substrates: Si wafers, glass slides, metal foils



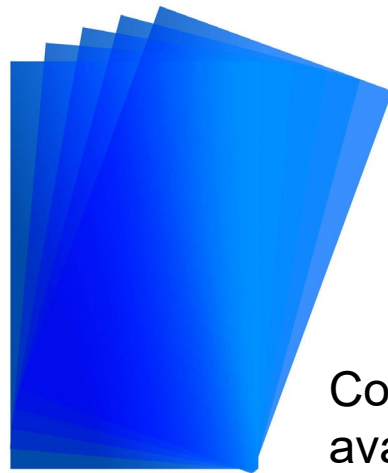
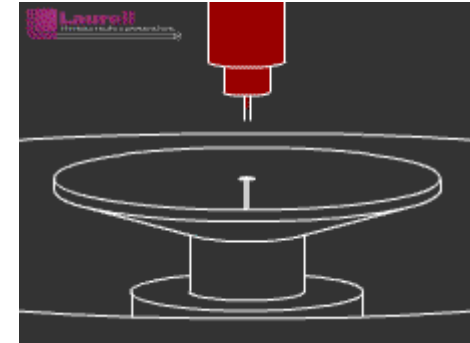
Photoresists



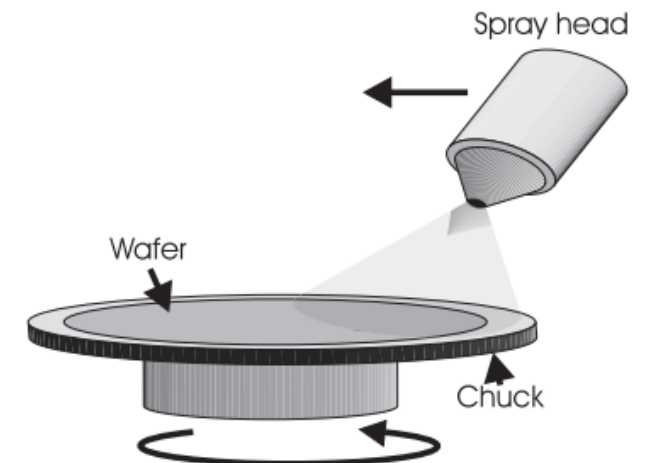
Developers:  
Alkaline or Solvent solutions

# Photoresist application techniques

- ▶ Spin coating
  - ▶ NUFAB has multiple spinners available for both standard photoresists and specialized resins

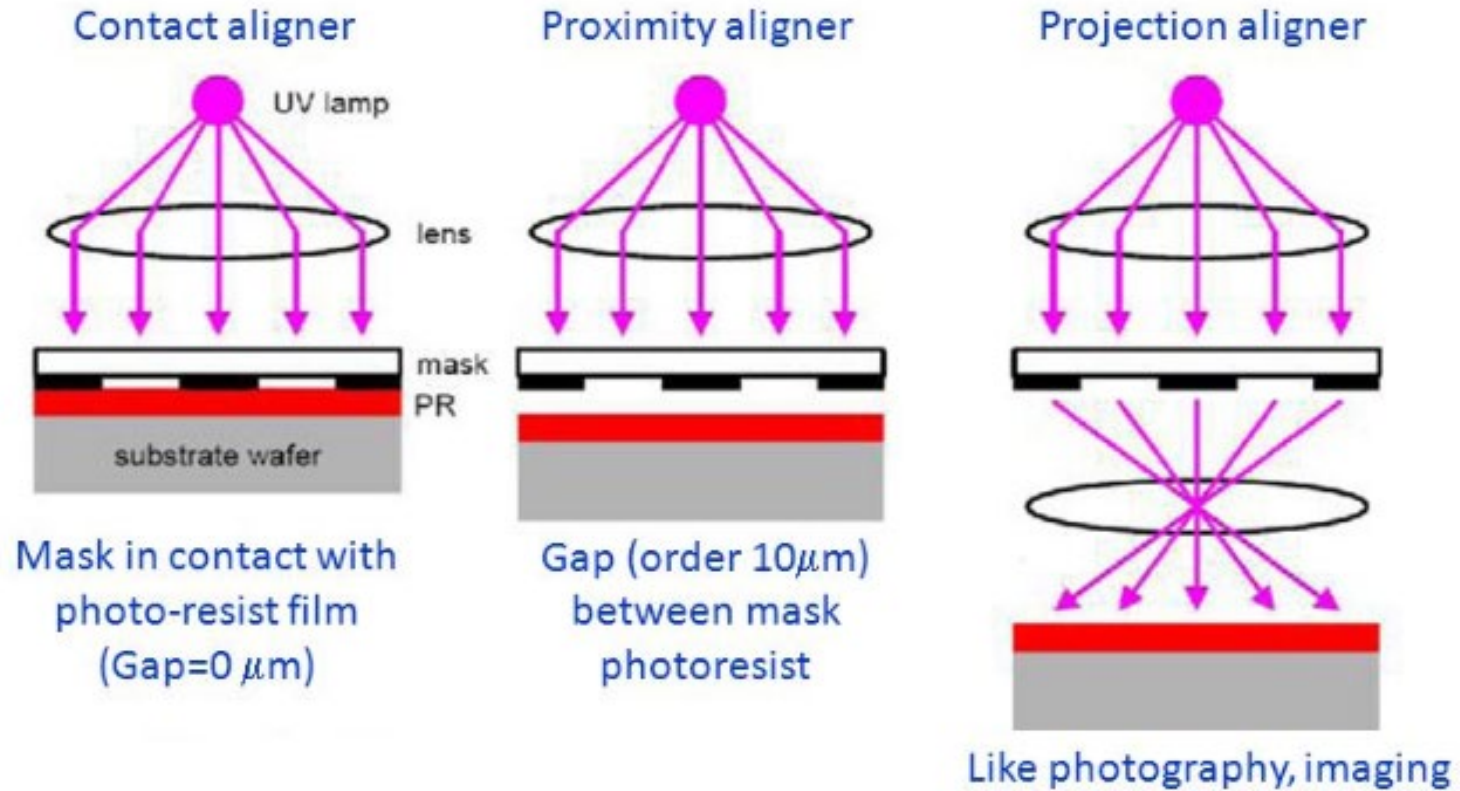


Commercial dry film tapes are available for coarse processes



Spray coating for mass production

# Photolithography types explained



Resolution

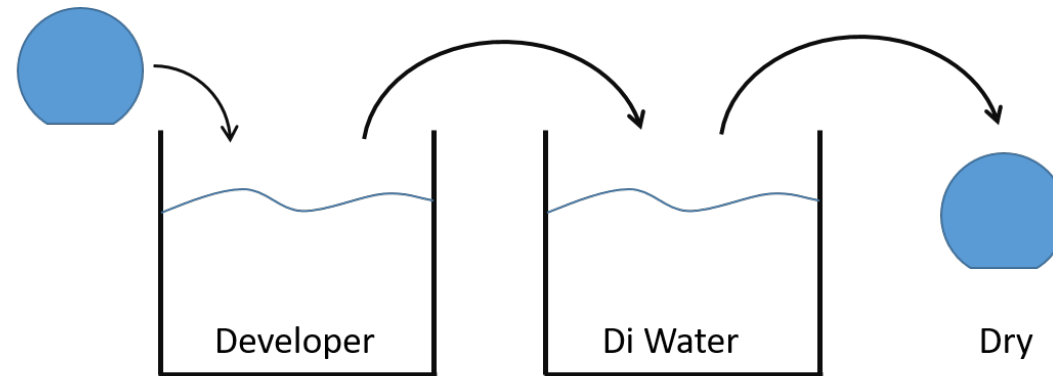
0.7 μm

5 μm

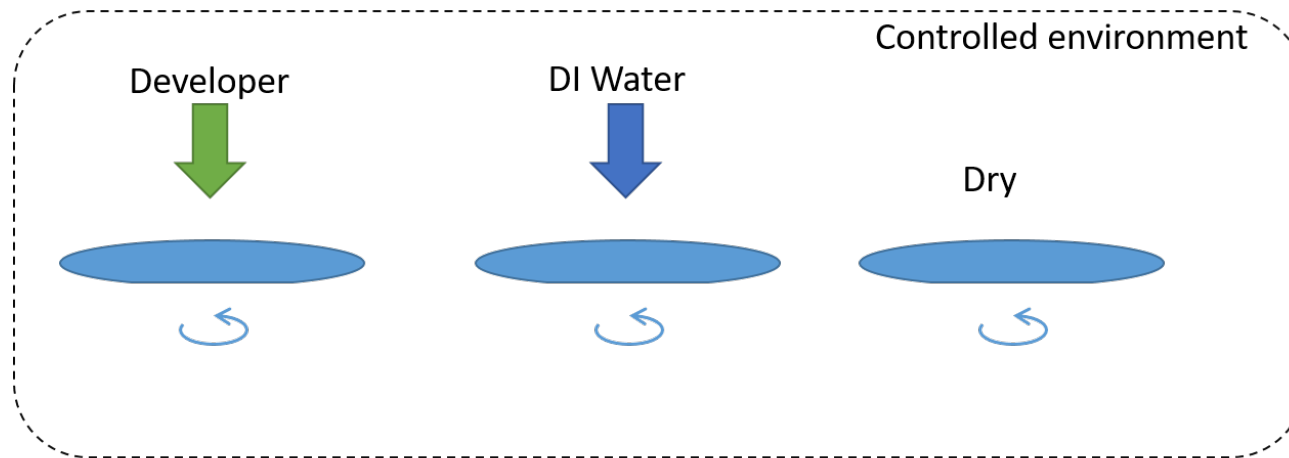
0.3 μm



# Photoresist developing techniques



Immersion Developing



Spray/Puddle Developing

NUFAB's proposed automatic spin developing station



# Datasheets are good resources for recipes

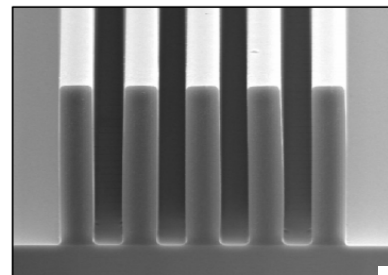


**SU-8 3000** is a high contrast, epoxy based photoresist designed for micromachining and other microelectronic applications, where a thick, chemically and thermally stable image is desired. SU-8 3000 is an improved formulation of SU-8 and SU-8 2000, which has been widely used by MEMS producers for many years. SU-8 3000 has been formulated for improved adhesion and reduced coating stress. The viscosity range of SU-8 3000 allows for film thicknesses of 4 to 120  $\mu\text{m}$  in a single coat. SU-8 3000 has excellent imaging characteristics and is capable of producing very high, over 5:1 aspect ratio structures. SU-8 3000 has very high optical transmission above 360 nm, which makes it ideally suited for imaging near vertical sidewalls in very thick films. SU-8 3000 is best suited for permanent applications where it is imaged, cured and left on the device.

### Features

- Improved adhesion
- Reduced coating stress
- High aspect ratio imaging

### Applications



Contact aligner exposure  
10  $\mu\text{m}$  features, 50  $\mu\text{m}$  SU-8 3000 coating

### Coat

SU-8 3000 resists are available in five standard viscosities, Table 1. Figure 1 provides the information required to

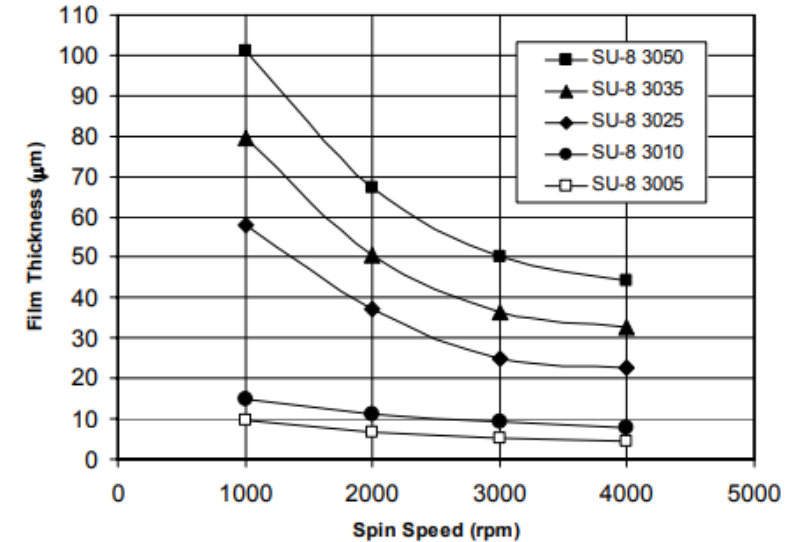


Figure 1. Spin speed vs. Thickness for SU-8 3000 resists (21°C US & EU)

THICKNESS	SOFT BAKE TIME
microns	minutes @ 95°C
4 - 10	2 - 3
8 - 15	5 - 10
20 - 50	10 - 15
30 - 80	10 - 30
40 - 100	15 - 45

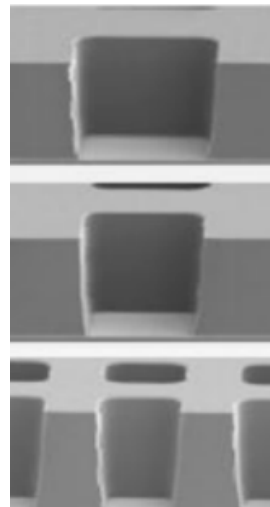
Table 2. Soft Bake Times

THICKNESS	EXPOSURE ENERGY
microns	mJ/cm <sup>2</sup>
4 - 10	100 - 200
8 - 15	125 - 200
20 - 50	150 - 250
30 - 80	150 - 250
40 - 100	150 - 250

Table 3. Exposure Dose

# There are two kinds of PR

## Positive Photoresist

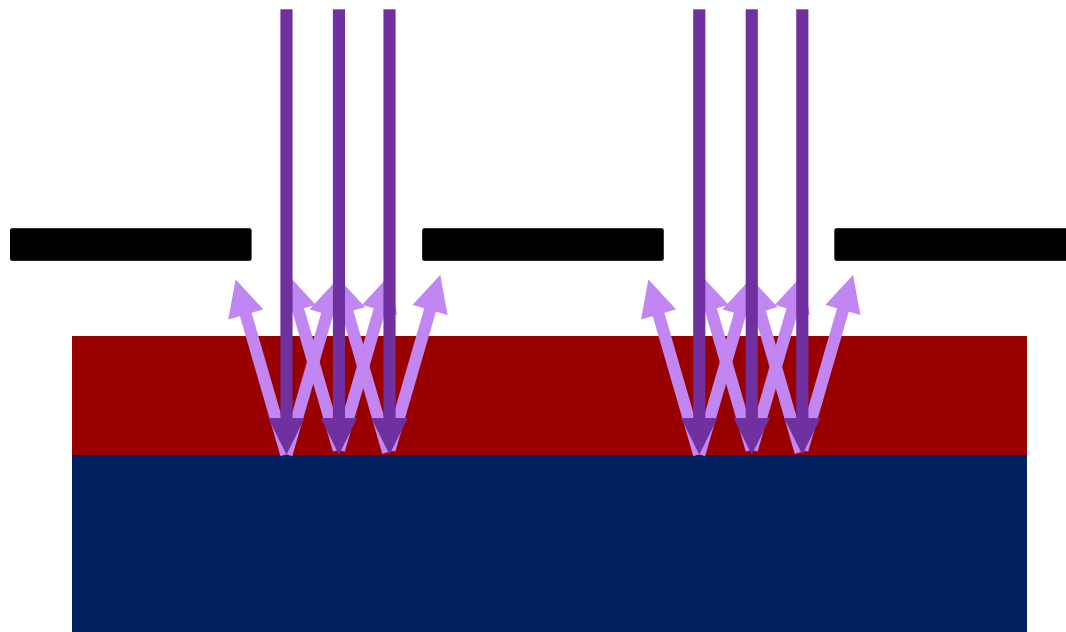


## Negative/IR Photoresist





# What determines the wall profile?



Light collimation, Diffraction, refractive index gradient, interference

Exposure

Contact Separation

Particles on the sample  
Edge bead  
WEC

Developer

Type MIF/MIB  
Concentration

Development time

# How to choose the correct type of PR

## Positive Photoresist



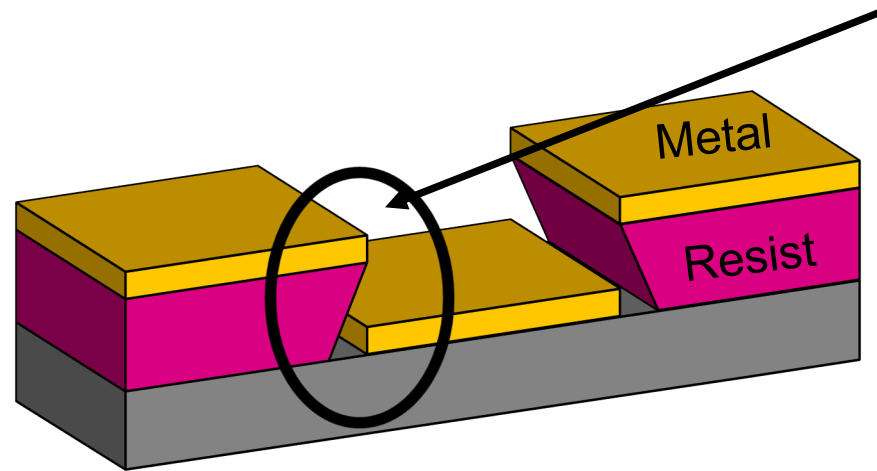
- ✓ Wet/Dry Etching
- ✓ Electroplating
- ✓ Air bridges

## Negative/IR Photoresist



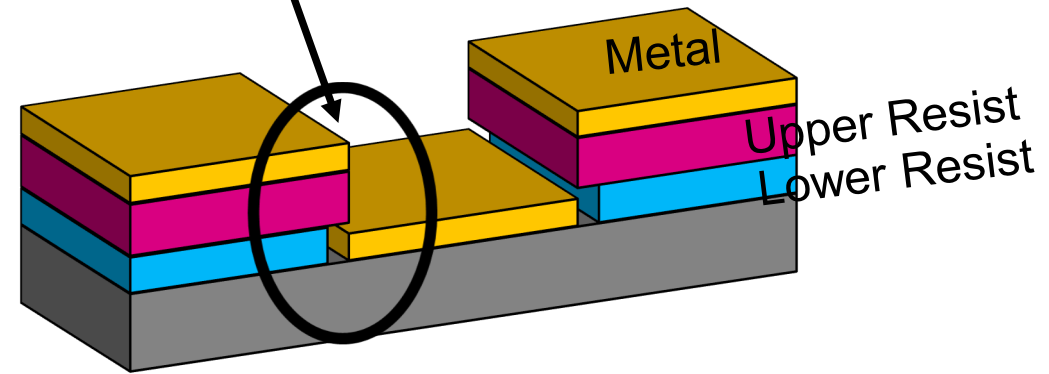
- ✓ Lift-off

# Lift-off process

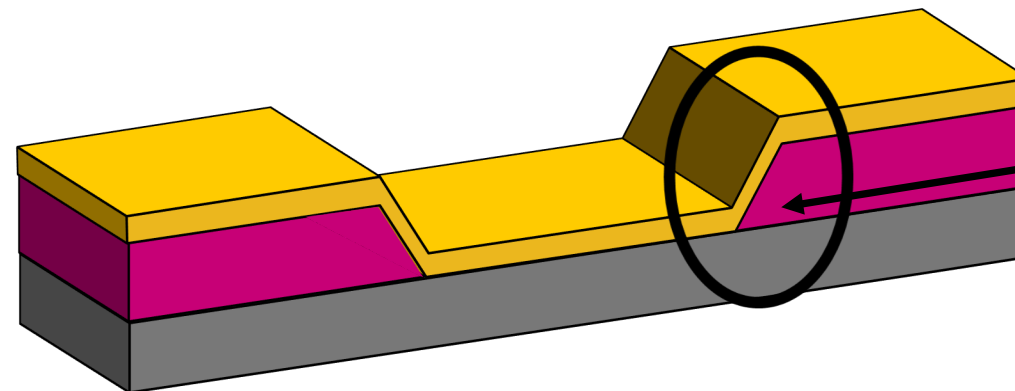


Good negative or IR resist process

Desired undercut profile



Bilayer process



Undesired resist wall profile

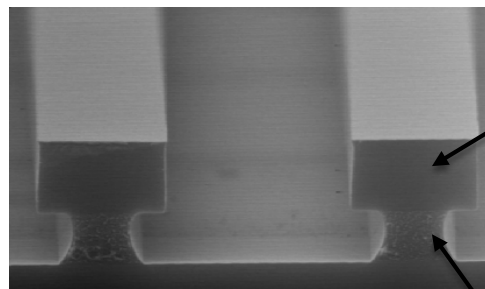
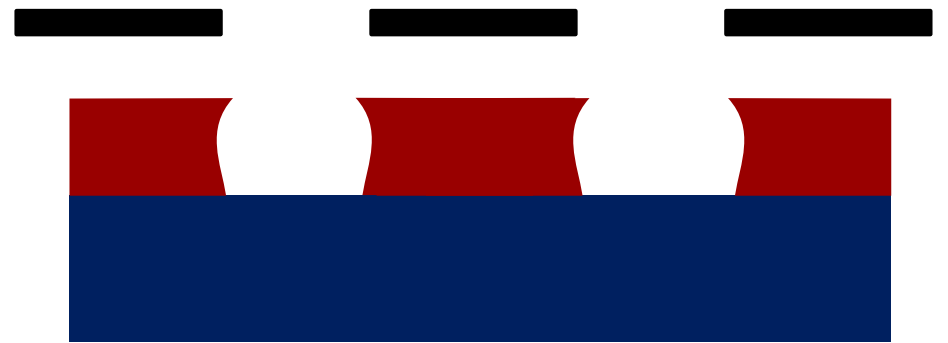


# Lift-off – How it works

Bilayer process

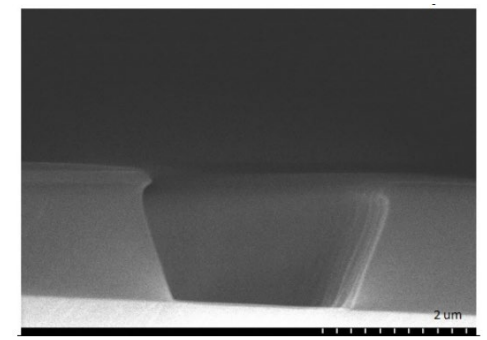


Toluene or Chlorobenzene soaking



A positive PR  
S1805, S1813 etc.

LOR 1A, 5A



# NUFAB's inventory

## Positive Photoresist



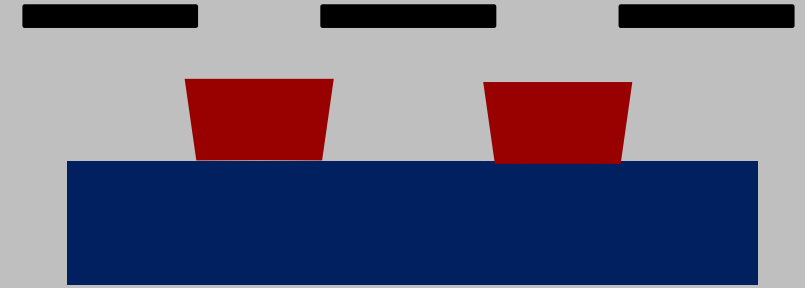
S1805

S1813

AZ P2640

SPR 220-7.0

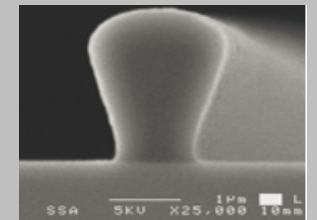
## Negative/IR Photoresist



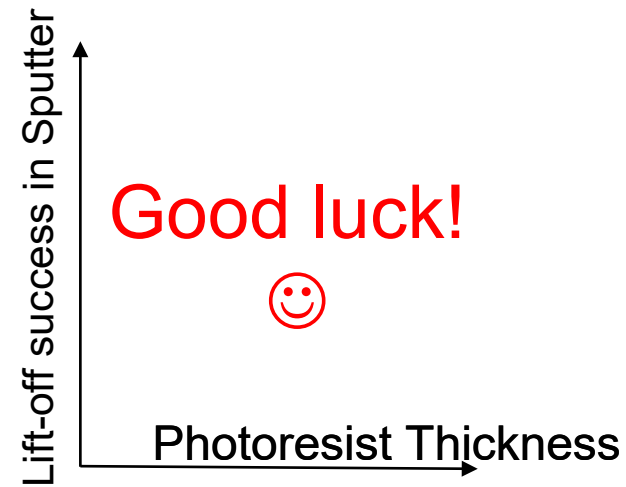
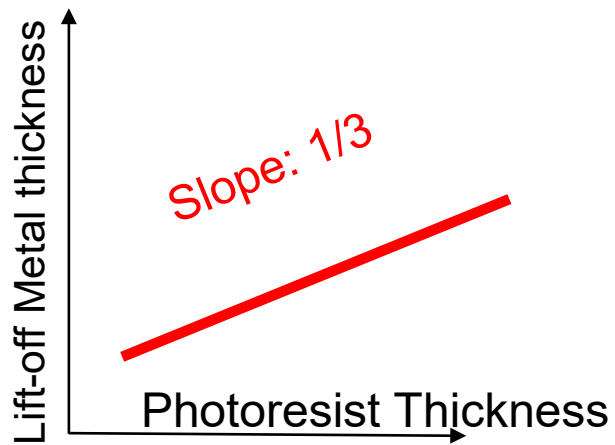
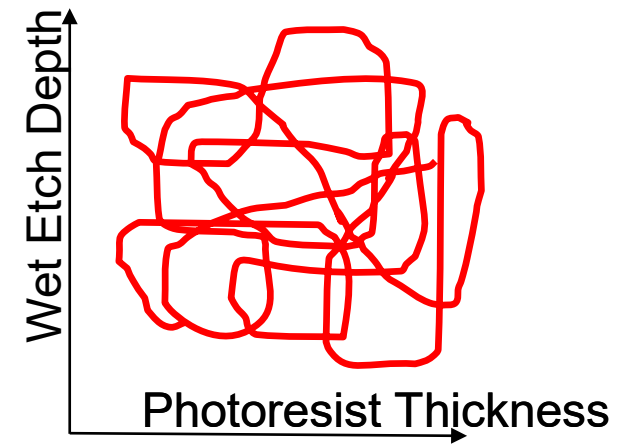
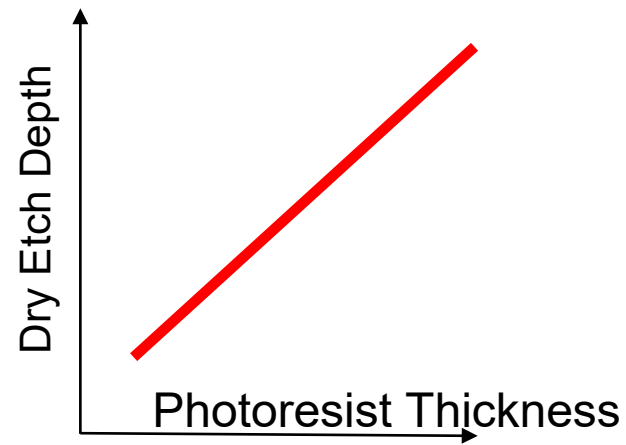
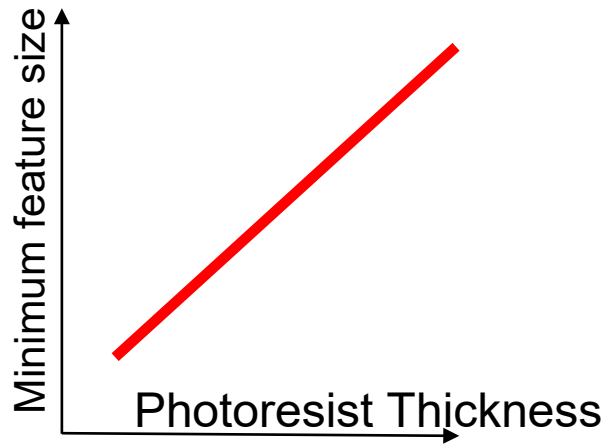
AZ 5214E

nLoF 2035

All SU8s

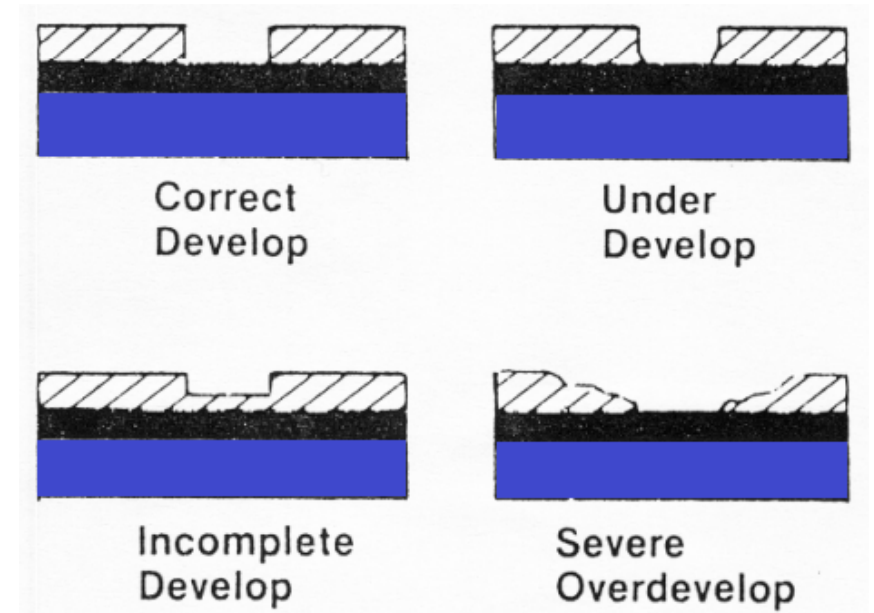
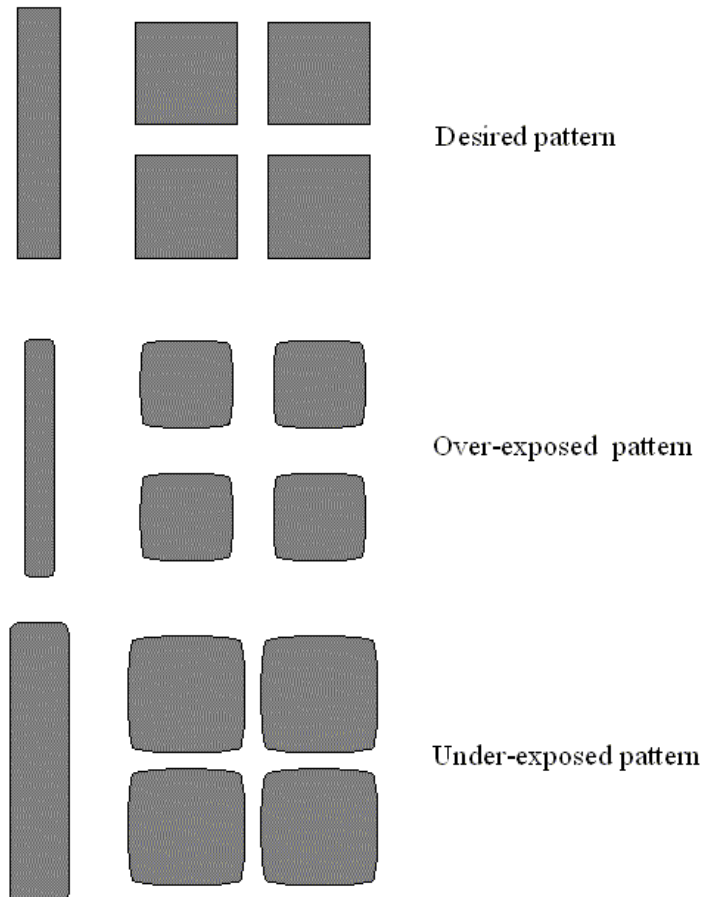


In general . . . \*\*



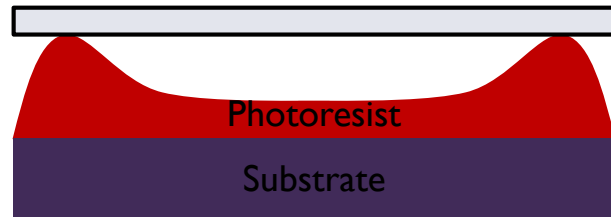
\*\* Assuming superb lithographic practices

# Exposure/ Develop Problems

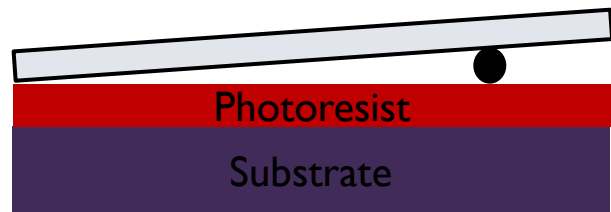




# Contact Problems

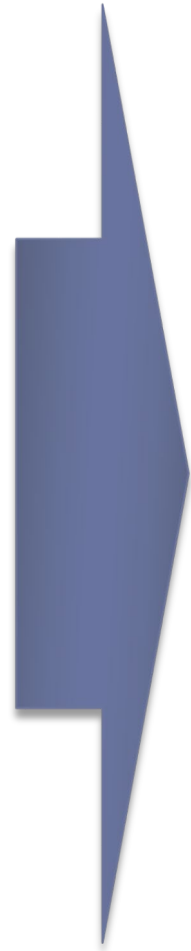


Severe edge beading



Dirty mask/substrate

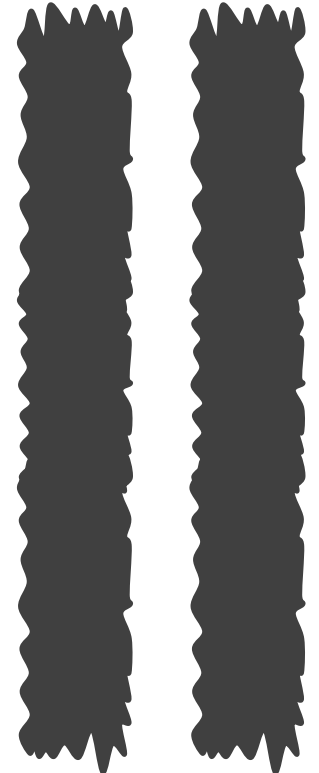
Wrong operation of the mask aligner



Desired pattern



Resulting pattern



# Designing Masks

- ▶ DXF – AutoCAD
  - ▶ Free for educational use
  - ▶ widely available
  - ▶ python/matlab friendly
  - ▶ Too complicated
  - ▶ Not all tools are supported
  - ▶ Resource heavy
  - ▶ Lacks basic Boolean operations
- ▶ GDSII – Ledit, Klayout, Layout editor
  - ▶ *De facto* standard for photolithography masks
  - ▶ Fool proof
  - ▶ Everything is supported
  - ▶ Resource friendly
  - ▶ Decent editors are expensive
  - ▶ Lacks circles

# Mask materials

## ▶ Chromium

- ▶ Opaque
- ▶ Better resolution
- ▶ Cheaper

- ▶ Requires careful alignment mark design

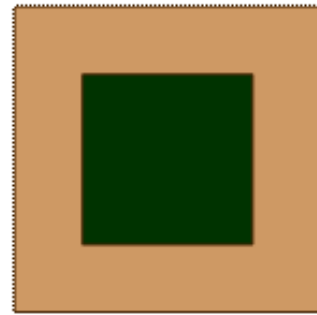
## ▶ Iron Oxide

- ▶ Transparent above 600 nm
- ▶ Acceptable resolution
- ▶ Forgiving to alignment mark design errors

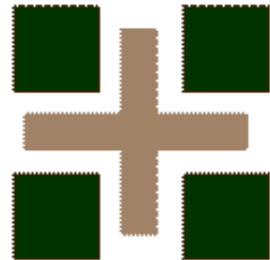
- ▶ Expensive

# Alignment marks are required for overlay exposures

Simple marks for coarse alignment

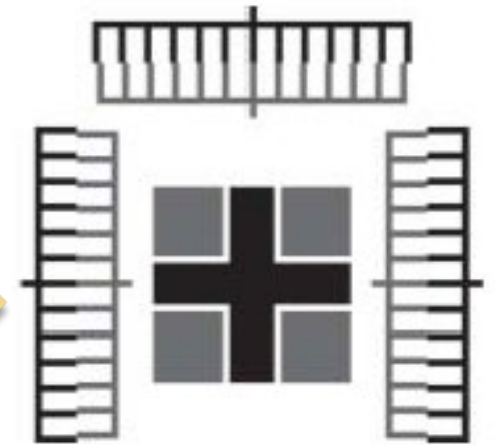


Box in Box alignment mark

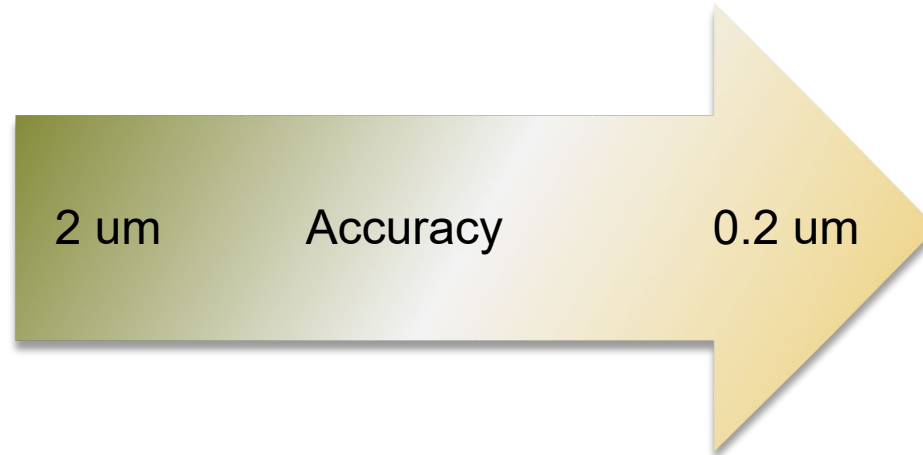


Cross alignment mark

Complex marks for fine alignment



Vernier mark



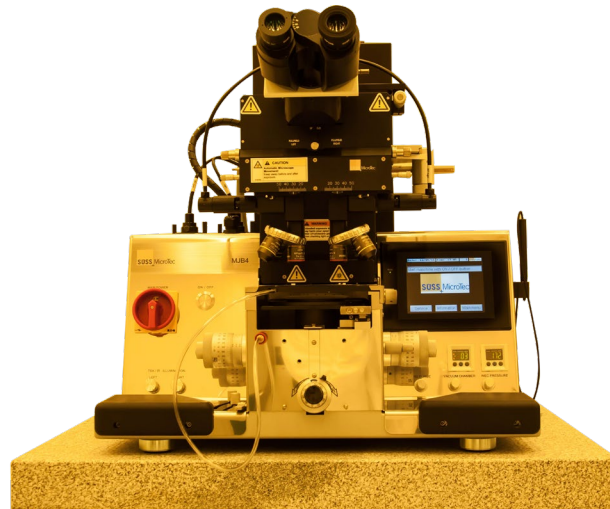
Microscope magnification, resolution and depth of field affect accuracy



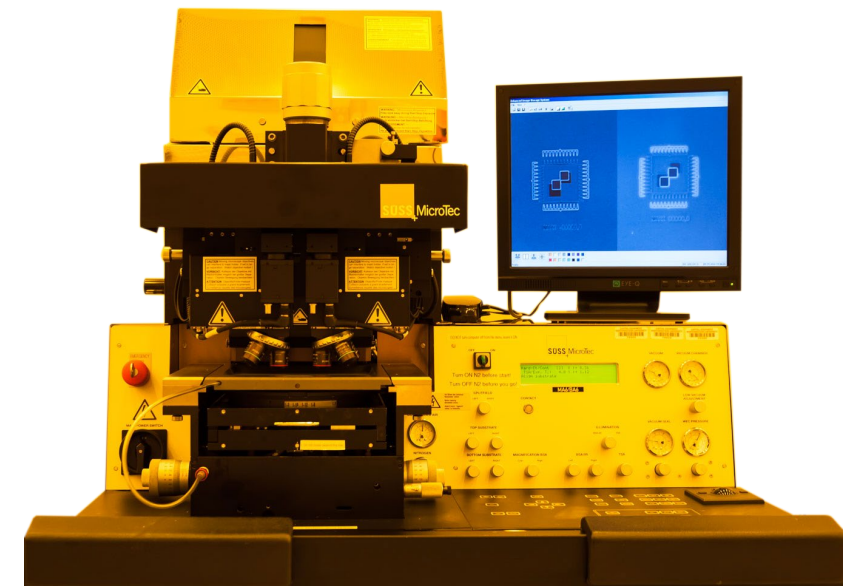
# NUFAB tools : Contact Aligners

- ▶ Advanced contact modes
- ▶ UV lamp – 365 nm and 405 nm (h, i-line)
- ▶ Up to 6 inch wafer process
- ▶ Backside alignment

Suss MJB4



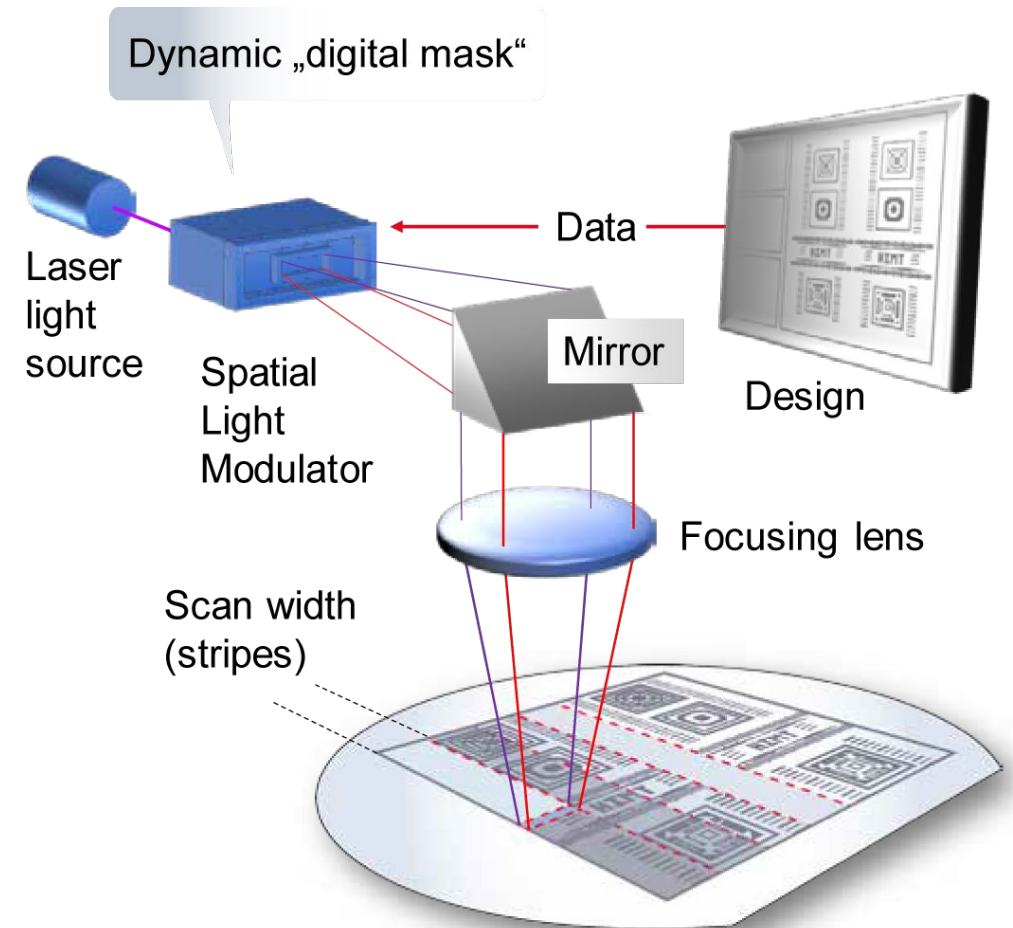
Suss MABA6



# Digital age – “film to digital photography”

## ▶ Maskless lithography

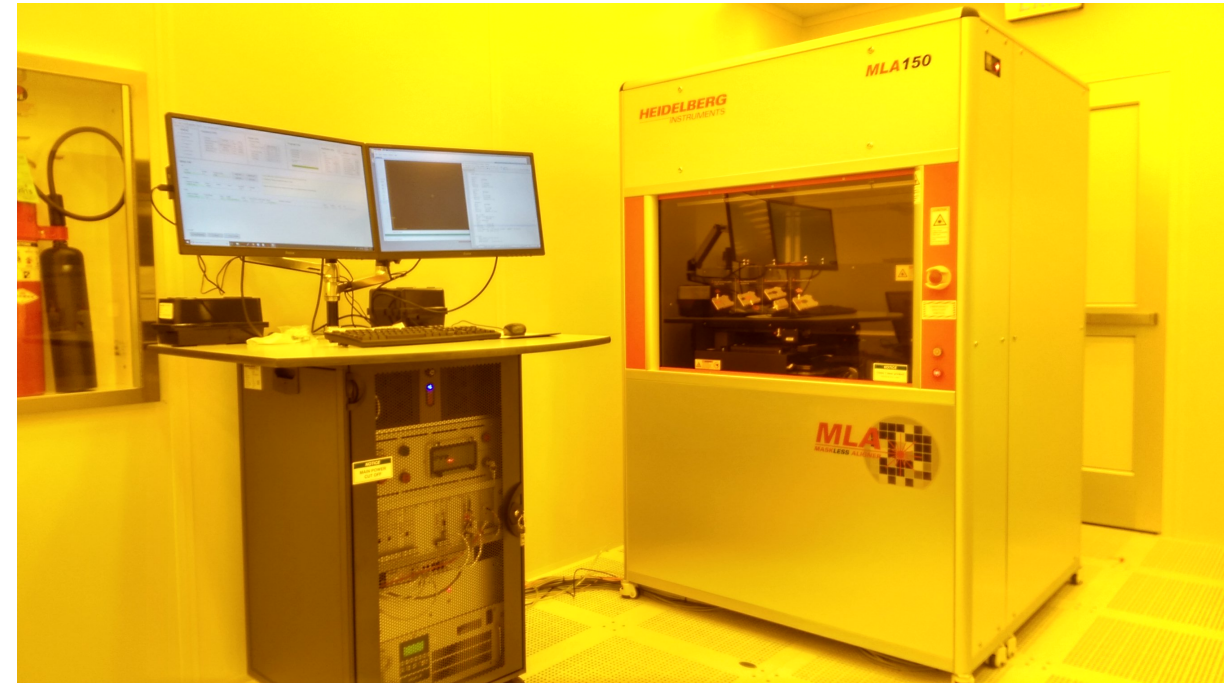
- ▶ Eliminates the need of fabrication of a mask
- ▶ Fast and dynamic
- ▶ Suitable for prototyping and rapid optimization



# NUFAB tools: Maskless Aligners

- ▶ 375, 395 and 405 nm lasers
- ▶ Up to 150 x 150 mm writing area
- ▶ Backside alignment

Heidelberg MLA150



Heidelberg uPG 501

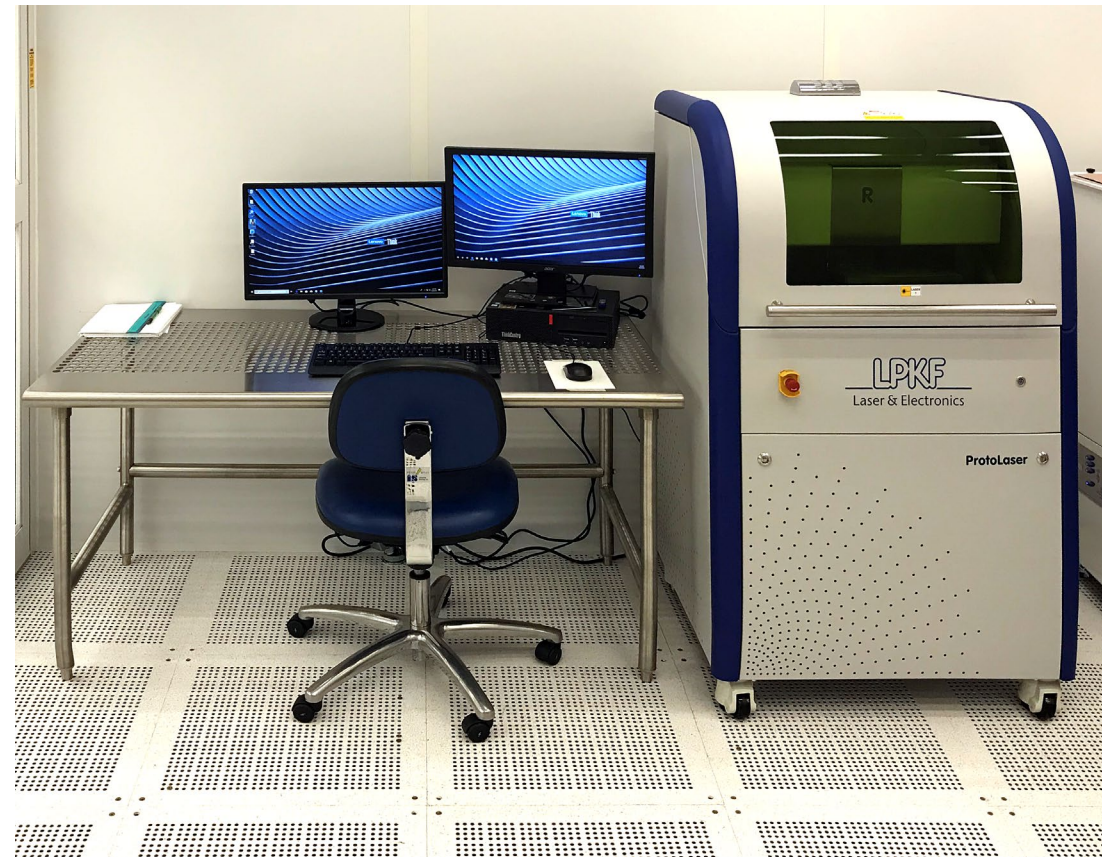




# NUFAB tools: Laser Cutter

- ▶ Virtually anything can be cut
- ▶ ~20  $\mu\text{m}$  spot size
- ▶ 15  $\mu\text{m}$  lines can be cut depending on the material thickness

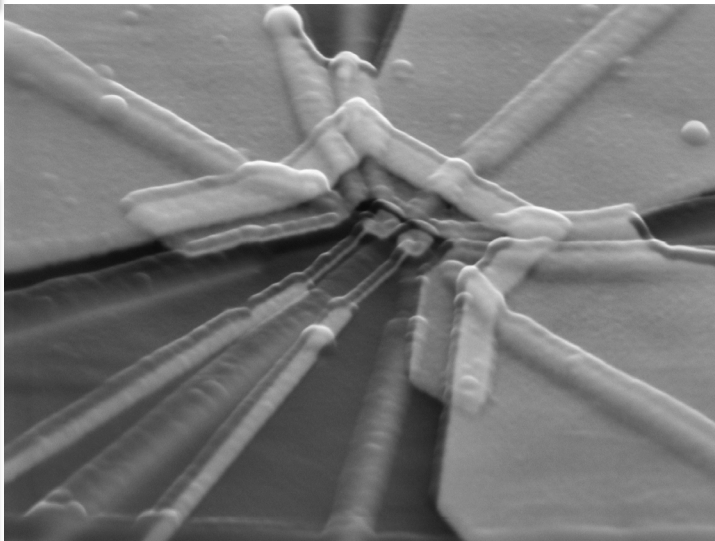
LPKF R Protolaser



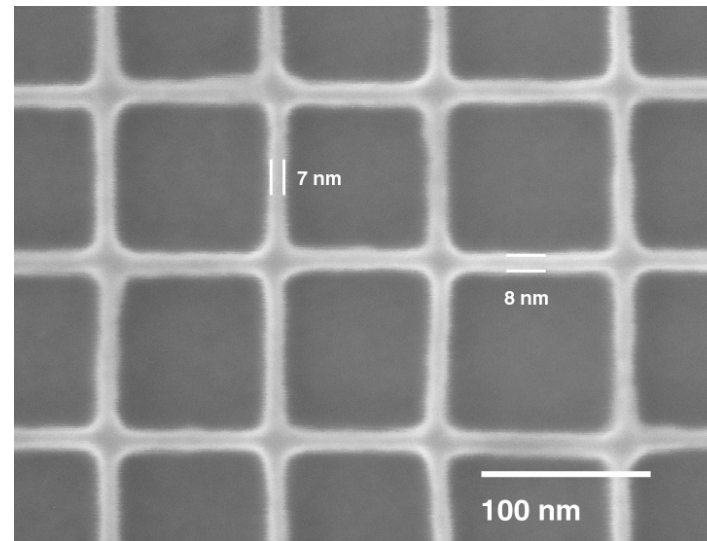


# E-Beam Lithography

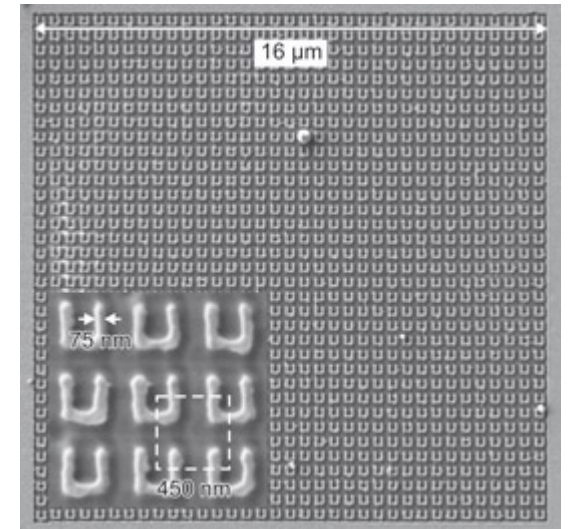
- ▶ Write using electrons
- ▶ Less than 10 nm resolution
- ▶ E-beam resist instead of photoresist: PMMA, ZEP



Quantum electronics



Plasmonics



Metamaterials

# E-beam Lithography

NUFAB's Prospective Direct Write EBL system

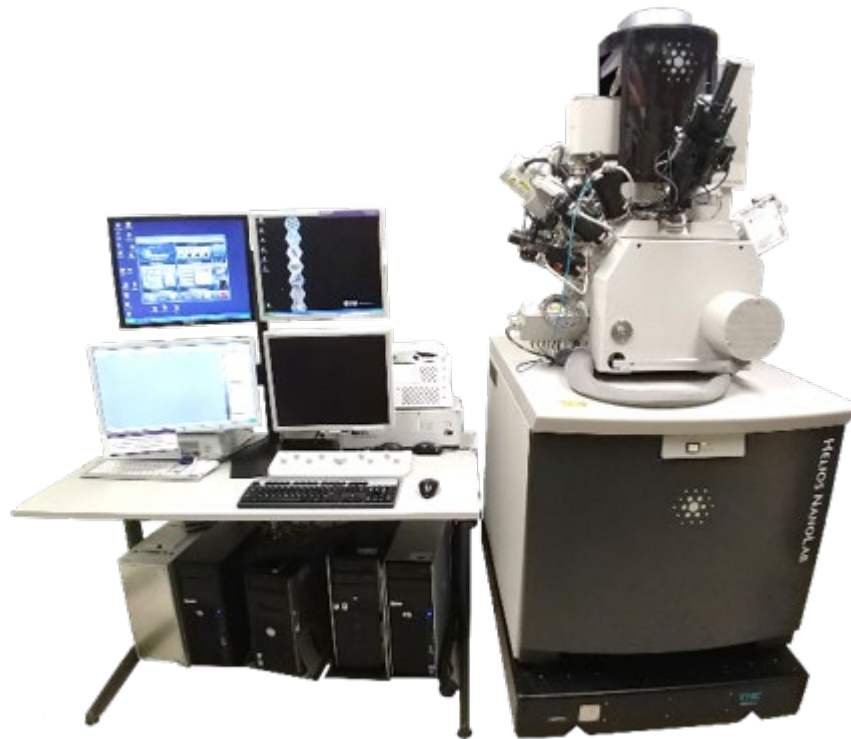


FEI Quanta with NPGS in EPIC Facility

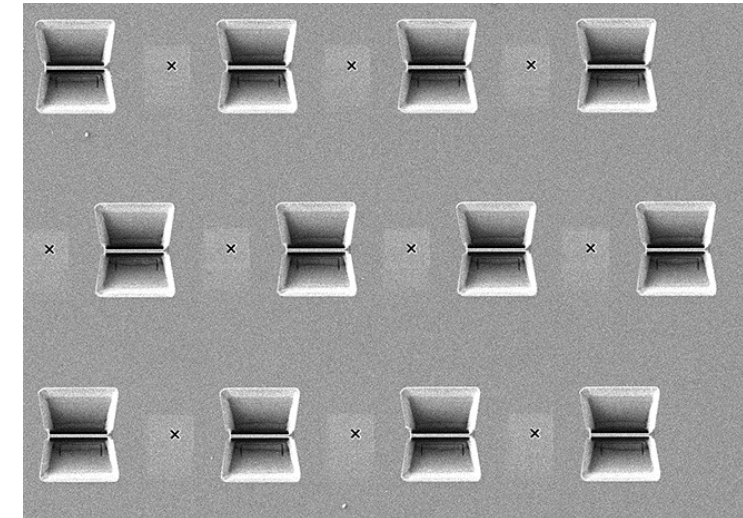
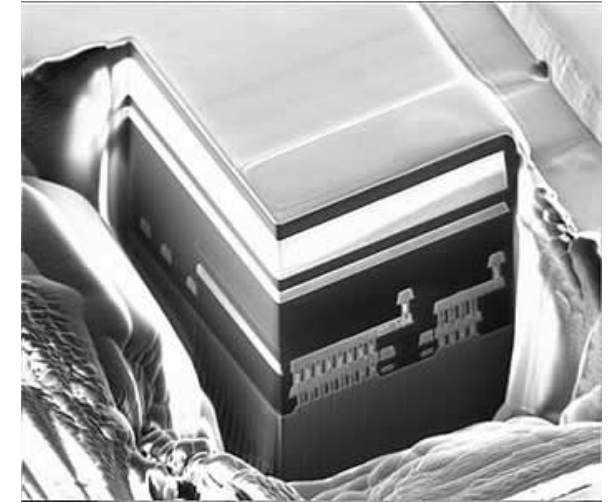
eBL  
MRI Funded  
Coming Soon ....

# Focused Ion Beam Milling

- ▶ Scribes using ions
- ▶ High resolution etching



FEI Helios FIB  
in EPIC facility



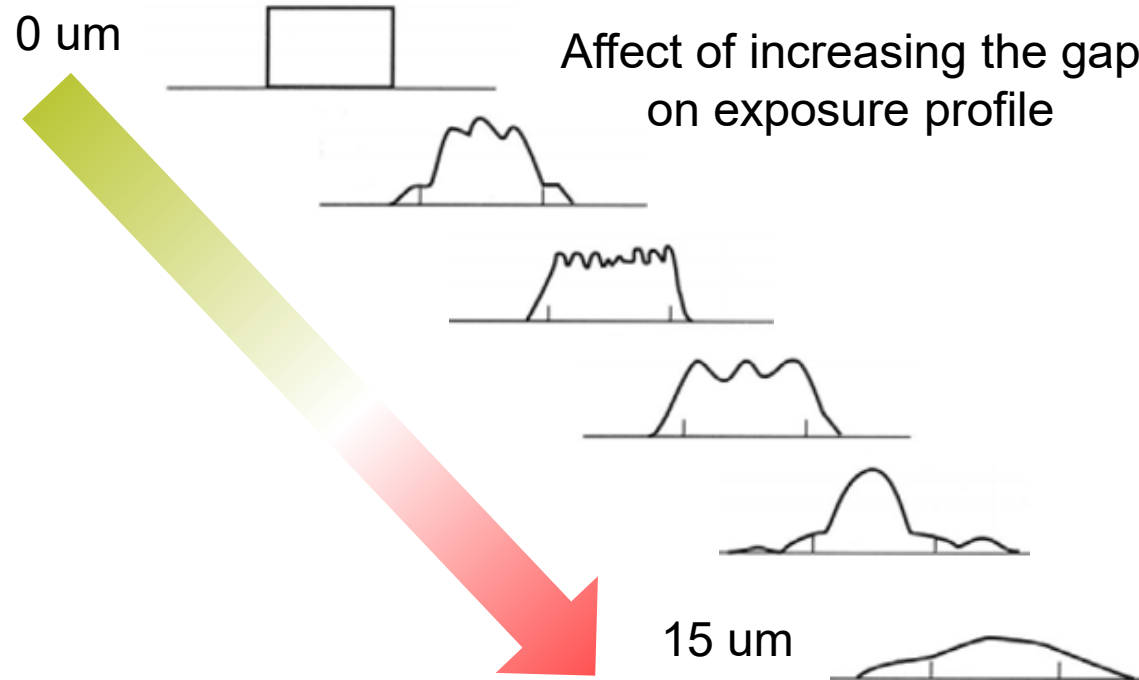
# Advanced topics: Optical limit

▶ Diffraction is the main limiting factor for better resolution



$$\text{Min Feature Size} \propto \sqrt{k \times \lambda \times \text{gap}}$$

*k*: constant  
*λ*: wavelength



Minimum size (um)	Gap (um)
2.7	20
1.9	10
1.35	5
0.6	1

# Advanced topics: Material limit

Not all photoresists produce the same resolution due to different polymerization/crosslinking.

- ▶ Each photoresist has different aspects ratio capability
  - ▶ Most have at least aspect ratio of 2, Shipley S1800 series, AZ 5200 series
  - ▶ Some have larger than 5, such as SU8 variants

NUFAB recommends using a photoresist thickness of at most half of the required minimum feature size to beginners and for most R&D applications as there are other factors involved.



# Remarks

- ▶ The fundamental limit of optical lithography is not determined by the optical system/lithography technique alone but rather is an overall contributions from the **optics, resist, develop and etching processes.**
- ▶ **Process window:** Capability of printing small features does not always guarantee a good quality and a repeatable and controllable patterning.
- ▶ **Alignment:** Alignment to the underlying layer is equally as important as the optics.

# Questions?

**NUFAB**

**NORTHWESTERN UNIVERSITY  
MICRO/NANO FABRICATION FACILITY**